

1 FOOD AND DRUG ADMINISTRATION  
2 CENTER FOR DRUG EVALUATION AND RESEARCH  
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8 PEDIATRIC ONCOLOGY SUBCOMMITTEE OF THE  
9 ONCOLOGIC DRUGS ADVISORY COMMITTEE MEETING  
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12  
13 Tuesday, November 5, 2013  
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17  
18 FDA White Oak Campus  
19 Building 31, The Great Room (Room 1503)  
20 White Oak Conference Center  
21 Silver Spring, Maryland  
22

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P R O C E E D I N G S

**Call to Order**

**Introduction of Committee**

DR. SMITH: Good morning, everyone. If everyone could please take their seats, we can get started. And I would like to remind everyone present to please silence your cell phones and other devices if you've not already done so. I would like to also identify the FDA press contact for this meeting, Ms. Stephanie Yao. If you are here, please stand.

Okay, in the back.

DR. SMITH: My name is Malcolm Smith. I'm the acting chairperson for today's meeting. I will now call this meeting of the Pediatric Oncology Subcommittee of the Oncologic Drugs Advisory Committee to order. We'll start by going around the table and introducing ourselves, so let's start on the right.

DR. FINGERT: Good morning. I'm Howard Fingert. I'm a medical oncologist/hematologist. I'm a senior medical director at Takeda

1       Pharmaceuticals, and I'm the industry  
2       representative.

3               DR. WIDEMANN:   Good morning. I'm Brigitte  
4       Widemann. I'm a pediatric oncologist at the NCI  
5       Pediatric Oncology Branch, and I have an interest  
6       in developing new therapies for children with  
7       refractory cancers.

8               DR. GOLDMAN:   Stu Goldman. I'm a pediatric  
9       neuro-oncologist at Lurie Children's Hospital,  
10      formerly Children's Memorial in Chicago.

11              DR. SEIBEL:   Nita Seibel. I'm a pediatric  
12      oncologist at the Clinical Investigations Branch of  
13      CTEP, NCI.

14              DR. ARMSTRONG:   I'm Danny Armstrong. I'm a  
15      pediatric psychologist and executive vice chair of  
16      the Department of Pediatrics, University of Miami.

17              DR. WARREN:   I'm Kathy Warren. I'm a  
18      pediatric neuro-oncologist from the National Cancer  
19      Institute, Pediatric Oncology Branch.

20              DR. SMITH:   I am Malcolm Smith, a pediatric  
21      oncologist at the Cancer Therapy Evaluation  
22      Program, CTEP of NCI.

1 DR. BRIGGS: Caleb Briggs, designated  
2 federal officer, ODAC.

3 DR. SEKERES: Mikkael Sekeres, medical  
4 oncologist, Cleveland Clinic in Cleveland, Ohio.

5 DR. ZONES: I'm Jane Zones. I'm a medical  
6 sociologist, and I'm the consumer rep on ODAC,  
7 affiliated with breast cancer action and the  
8 National Women's Health Network.

9 MS. GOODMAN: I'm Nancy Goodman. I'm the  
10 patient advocate representative on the panel,  
11 representing Kids versus Cancer, which focuses on  
12 legislative and regulatory reform to accelerate  
13 pediatric cancer drug development.

14 DR. CASAK: I am Sandra Casak. I am a  
15 medical oncologist, and I'm in the Office  
16 Hematology and Oncology Drugs, FDA.

17 DR. REAMAN: Gregory Reaman, pediatric  
18 oncologist and associate director of the Office of  
19 Hematology and Oncology Products.

20 DR. YAO: Lynne Yao. I'm a pediatric  
21 nephrologist. I'm the associate director in the  
22 Office of New Drugs for the pediatric and maternal

1 health staff.

2 DR. SMITH: Okay. Very good. We'll begin.

3 For topics such as those being discussed at  
4 today's meeting, there are often a variety of  
5 opinions, some of which are quite strongly held.  
6 Our goal is that today's meeting will be a fair and  
7 open forum for discussion of these issues and that  
8 individuals can express their views without  
9 interruption. Thus, as a gentle reminder,  
10 individuals will be allowed to speak into the  
11 record only if recognized by the chair. We look  
12 forward to a productive meeting.

13 In the spirit of the Federal Advisory  
14 Committee Act and the Government in the Sunshine  
15 Act, we ask that the advisory committee members  
16 take care that their conversations about the topic  
17 at hand take place in the open forum of the  
18 meeting. We are aware that often members of the  
19 media are anxious to speak with the FDA about these  
20 proceedings. However, FDA will refrain from  
21 discussing the details of the meeting with the  
22 media until its conclusion. Also, the committee

1 is reminded to please refrain from discussing the  
2 meeting topic during breaks or lunch.

3 Thank you, and we'll now proceed with the  
4 FDA -- we'll now have the Conflict of Interest  
5 Statement from Caleb Briggs.

6 **Conflict of Interest Statement**

7 DR. BRIGGS: The Food and Drug  
8 Administration, FDA, is convening today's meeting  
9 of the Pediatric Subcommittee of the Oncologic  
10 Drugs Advisory Committee under the authority of the  
11 Federal Advisory Committee Act, FACA, of 1972.  
12 With the exception of the industry representative,  
13 all members and temporary voting members of the  
14 committee are special government employees, SGEs,  
15 or regular federal employees from other agencies  
16 and are subject to federal conflict of interest  
17 laws and regulations.

18 The following information on the status of  
19 this committee's compliance with federal ethics and  
20 conflict of interest laws covered by, but not  
21 limited to, those found at 18 USC Section 208, is  
22 being provided to participants in today's meeting

1 and to the public.

2 FDA has determined that members and  
3 temporary voting members of this committee are in  
4 compliance with federal ethics and conflict of  
5 interest laws. Under 18 USC Section 208, Congress  
6 has authorized FDA to grant waivers to special  
7 government employees and regular federal employees  
8 who have potential financial conflicts when it is  
9 determined that the agency's need for a particular  
10 individual's services outweighs his or her  
11 potential financial conflict of interest.

12 Related to the discussions of today's  
13 meeting, members and temporary voting members of  
14 this committee have been screened for potential  
15 financial conflicts of interest of their own, as  
16 well as those imputed to them, including those of  
17 their spouses or minor children and, for purposes  
18 of 18 USC Section 208, their employers. These  
19 interests may include investments, consulting,  
20 expert witness testimony, contracts, grants,  
21 CRADAs, teaching, speaking, writing, patents and  
22 royalties, and primary employment.

1           During the morning session, there will be a  
2 presentation in general discussion of the potential  
3 applicability of pharmacological and cellular  
4 manipulation of the immune system as a potential  
5 therapeutic intervention in various pediatric  
6 cancers. The recent dramatic results of inhibition  
7 of the PD-1/PD-L1 axis and checkpoint inhibitors on  
8 normal T cells in melanoma and other adult cancers  
9 strongly suggest a potential role for such agents  
10 in the management of childhood cancer.

11           Information will be presented regarding  
12 pediatric development plans for two products that  
13 are in late-stage development for various adult  
14 oncology indications. The subcommittee will  
15 consider and discuss issues relating to the  
16 development of each product for potential pediatric  
17 use and provide guidance to facilitate the  
18 formulation of written requests for pediatric  
19 studies, if appropriate. The two products under  
20 consideration are, first, nivolumab, application  
21 submitted by Bristol-Myers Squibb Co., and second,  
22 MK-3475, application submitted by Merck Sharp and



1 Dohme.

2 This is a particular matters meeting during  
3 which specific matters related to Bristol-Myers  
4 Squibb's and Merck's products will be discussed.  
5 Based on the agenda for today's meeting and all  
6 financial interests reported by the committee  
7 members and temporary voting members, no conflict  
8 of interest waivers have been issued in connection  
9 with this meeting. Dr. Dunkel has been recused  
10 from participating in this session of the meeting.

11 To ensure transparency, we encourage all  
12 standing committee members and temporary voting  
13 members to disclose any public statements that they  
14 have made concerning the product at issue. With  
15 respect to FDA's invited industry representative,  
16 we would like to disclose that Dr. Fingert is  
17 participating in this meeting as a nonvoting  
18 industry representative, acting on behalf of  
19 regulated industry. Dr. Fingert's role at this  
20 meeting is to represent industry in general and not  
21 any particular company. Dr. Fingert is employed by  
22 Takeda.

1           We would like to remind members and  
2       temporary voting members that if the discussions  
3       involve any other products or firms not already on  
4       the agenda for which an FDA participant has a  
5       personal or imputed financial interest, the  
6       participants need to exclude themselves from such  
7       involvement, and their exclusion will be noted for  
8       the record. FDA encourages all other participants  
9       to advise the committee of any financial  
10      relationships that they may have with the firm at  
11      issue. Thank you.

12           DR. SMITH: Okay. Very good. We will now  
13      proceed with the FDA introductory remarks from  
14      Dr. Reaman. I would like to remind public  
15      observers at this meeting that while this meeting  
16      is open for public observation, public attendees  
17      may not participate except at the specific request  
18      of the panel.

19           Dr. Reaman?

20           **Introductory Remarks - Gregory Reaman**

21           DR. REAMAN: Thank you. On behalf of the  
22      agency, I'd like to again welcome the advisors to

1       this meeting and also thank both of the sponsors  
2       for their willingness to present and discuss to  
3       very exciting agents which have been already  
4       mentioned by Caleb Briggs.

5               This is a bit of an unusual and I think  
6       maybe perhaps groundbreaking event in that we have  
7       agents with very similar mechanisms of action. And  
8       we think that there is strong possibility that  
9       there is potential applicability of these drugs in  
10      the treatment of pediatric malignancies.

11             Recognizing the challenges that we have from  
12      the standpoint of small patient populations for  
13      study and recognizing the need for global drug  
14      development and international collaboration, I  
15      think the fact that we're seeing collaboration on  
16      the part of industry and regulatory agencies and  
17      the investigator community is really quite  
18      remarkable, and I think is noteworthy.

19             With that, we seek your advice in  
20      considering the planned pediatric development of  
21      these agents, information, and advice that will  
22      help us in the construction of written requests

1       that will go forward to advance pediatric  
2       development of both lambrolizumab and nivolumab in  
3       children.  Thanks.

4               DR. SMITH:  We will now proceed with a guest  
5       speaker presentation.  That will be from Dr. Paul  
6       Sondel, a pediatric immunotherapy expert who will  
7       speak on this topic.

8               **Guest Speaker Presentation - Paul Sondel**

9               DR. SONDEL:  Thank you very much, Dr. Smith.  
10       Thanks very much to all of you, and thanks also to  
11       Dr. Reaman, who specifically invited me to come and  
12       provide an overview regarding the niche and the  
13       rationale for the use of immunotherapy in the  
14       setting of pediatric oncology.  As an introduction  
15       for this meeting, which is focused on the PD-  
16       1/PD-L1 access, Dr. Reaman suggested that I provide  
17       more of an overview of where cancer immunotherapy  
18       is actually going in the setting of pediatric  
19       oncology and some of the biological rationale for  
20       how it got there.

21               I have no conflicts to claim.  And I want to  
22       start with some history.  Really, the first

1 evidence that immune responses to cancer could make  
2 a difference in vivo came from mouse work published  
3 in the late 1950s, Richmond Prehn and Janet Main.  
4 They used a chemical carcinogen,  
5 methylcholanthrene, to induce fibrosarcomas in  
6 mice.

7           They could collect these fibrosarcomas,  
8 shown in this slide as tumor A1 or A2, and these  
9 could then be grafted into syngeneic mice. And  
10 because these mice were all genetically identical,  
11 they would grow. And they would grow and continue  
12 to grow, for example in that mouse on the left.  
13 And if nothing was done, the animal would die of  
14 that cancer.

15           But if the cancer was removed prior to it  
16 getting large enough to be lethal or to  
17 metastasize, the animal would survive that surgery.  
18 And at a later time, that animal could then be  
19 regrafted with some of that same tumor A1, and it  
20 would reject it. And this has been shown to be an  
21 immunological process that involves multiple  
22 components of the immune system, particularly

1 T cells.

2 If that same animal that had originally  
3 rejected tumor A1 is grafted with tumor A2, a  
4 separate methylcholanthrene-induced tumor in that  
5 same strain, that tumor would grow, indicating that  
6 there were separate transplantation antigens on  
7 these separate tumors even though they were induced  
8 by the same carcinogen in the same strain of mice.

9 Now over 50 years later, we know that those  
10 antigens are the results of mutations caused by  
11 these mutagens, which caused the cancers. And  
12 those different mutations, which occur  
13 spontaneously, are the cause of these  
14 transplantation antigens. So it raises the issue  
15 of how might the immune system be utilized to have  
16 an impact against cancer.

17 I want to acknowledge that I've gotten help  
18 in this talk with some slides that are provided by  
19 some experts, particularly in the PD-1 area. This  
20 slide is from Drew Pardoll of Johns Hopkins  
21 University. I've also gotten some slides from  
22 Mario Sznol from Yale University. But clearly, the

1 immune system has a capability to respond to a huge  
2 number of pathogens and to antigens. It has the  
3 weaponry to provide multiple different pathways of  
4 destruction of cells, and it has memory. And all  
5 of these could potentially be wielded against  
6 cancer.

7           So in the clinical arena, there are many  
8 opinions as to where the first evidence of  
9 immunotherapy was really proven to make a  
10 difference. But with respect to a physician-led  
11 intervention that has been clearly shown to work  
12 through immunotherapy, I think many would argue  
13 that the field of bone marrow transplant for  
14 malignancies, particularly leukemia, which began in  
15 the late 1960s, really has provided outstanding  
16 results for certain patients with very high-risk  
17 leukemias. And as shown by the International Bone  
18 Marrow Transplant Registry, looking at over 2,000  
19 recipients of bone marrow transplants, the  
20 mechanism responsible for that beneficial  
21 antileukemic effect is an immunotherapeutic  
22 response.

1           These data just show that if you look at  
2       patients that have received a transplant, the ones  
3       that are most likely to relapse are the ones that  
4       have gotten a transplant from a twin or have gotten  
5       a T-depleted transplant. The ones that are least  
6       likely to relapse are the patients that have had  
7       some immune reaction, namely graft versus host  
8       disease. So the challenge of course is to identify  
9       what's causing the antitumor immunologic effect and  
10      somehow separate that from an anti-host tissue or  
11      GVH effect.

12           So in order to move this forward into  
13      clinical therapeutic manipulations, there are  
14      really two completely different forks in the road.  
15      One is to take a patient who has cancer, where we  
16      know that the patient's immune system has been  
17      interfered with by the cancer itself, and to use  
18      cells from a healthy donor as an immunotherapeutic  
19      in the setting of bone marrow transplant for some  
20      other allogeneic infusion. But in that setting,  
21      there needs to be a fair amount of cellular  
22      engineering and manipulation in order to avoid the



1 graft versus host kind of reactions against foreign  
2 major and minor histocompatibility antigens.

3 The other approach, and the one that has  
4 potentially much more greater applicability, is to  
5 use a patient's own immune system. But in that  
6 case, because the cancer has arisen in that patient  
7 and that patient's immune system is already  
8 impacted negatively by the presence of that cancer,  
9 there needs to be a fair amount of manipulation or  
10 activation of the immune system in order to have  
11 some beneficial effect against that cancer.

12 So in order to understand the interaction  
13 between the immune system and the cancer, Bob  
14 Schreiber from St. Louis has come up with this  
15 approach, looking at how an incipient cancer is  
16 interfacing with the host in which it is growing  
17 immune system. And this schema shows at the top  
18 the development of pre-neoplastic cells from normal  
19 tissues.

20 As those cells are changing and becoming  
21 neoplastic, they're expressing stress molecules or  
22 potentially modified proteins that might

1 potentially be antigens. They then interact with  
2 the immune system, and early on, the immune system  
3 is able to destroy those early cancers, and this is  
4 the elimination phase. But with time and selection  
5 in some individuals, some subclones of the cancer  
6 can survive. And as such, that residual cancer is  
7 dormant and is forming an equilibrium with the  
8 patient's immune system. And the two are  
9 coexisting. And then some time later in some  
10 patients, those dormant tumor cells are now  
11 selected in such a way that they are escaping from  
12 the immune system, and they grow and become  
13 clinically evident cancer.

14           So by the time cancer is diagnosed, all of  
15 these steps have undergone these interactions, and  
16 the cancer's that's identified clinically has  
17 already been selected for being relatively  
18 resistant to the patient's own physiologic immune  
19 interactions. Thus, when we're talking about  
20 immunotherapy, we're really not talking about  
21 physiological interactions of the immune system in  
22 cancer. We're talking about therapeutic or

1 pharmacologic, or supranormal manipulations, trying  
2 to get the immune system to do something that it  
3 wasn't able to do on its own.

4         There are many components of the immune  
5 system to consider as we're looking at the  
6 anticancer effect. The component that has had the  
7 most attention in research laboratories and in the  
8 clinic over the past 20 years is that of T-cell  
9 recognition, and we'll talk more about that later.

10         Next are the cells of the innate immune  
11 system: neutrophils, macrophages, natural killer  
12 cells. Next is the serological component to the  
13 immune system, namely antibodies. And in the  
14 clinical setting, the therapeutic component of this  
15 is that of monoclonal antibodies.

16         We need to be aware of how tumors themselves  
17 can suppress the immune system through a variety of  
18 pathways, including T regulatory cells, myeloid  
19 derived suppressor cells, and molecules released by  
20 the tumor to suppress the tumor in the  
21 microenvironment. And then we need to think about  
22 how these cells might be treated ex vivo and

1       infused into the patient.

2               Now putting this in the context of pediatric  
3 cancer therapy, we're in a somewhat fortunate  
4 position. And because of lab and clinical  
5 research, there's been a lot of progress in the  
6 treatment of childhood cancer over the past five  
7 decades. The majority of children will respond to  
8 their standard therapy of radiation, surgery, and  
9 chemotherapy. A majority of patients go into  
10 remission, and roughly 80 percent are cured,  
11 although with significant long-term side effects  
12 that are the result of those therapies,  
13 particularly the results of chemotherapy that are  
14 associated with mutations and other genetic damage.

15              The reason that children with cancer are  
16 still dying, for the most part, is not because  
17 they're not responding to their initial therapy.  
18 It's because the initial therapy is not good  
19 enough, and residual cancer then comes back and  
20 relapses and is resistant to those same therapies.

21              So with that background, what's the niche  
22 for immunotherapy in the setting of childhood

1 cancer? So unlike many -- not all but  
2 many -- adult cancers, where the initial therapy is  
3 not terribly effective, for children with cancer,  
4 the combination of surgery, radiation and  
5 chemotherapy is effective. And therefore, I think  
6 when we look at immunotherapy in the setting of  
7 children with cancer, we need to be looking at it  
8 in the setting of what are we already doing to have  
9 an impact on the child's cancer.

10 Because of these standard therapies that are  
11 being used, particularly chemotherapy and radiation  
12 therapy, can be quite immunosuppressive, many might  
13 argue that in order for these immunotherapeutic  
14 manipulations to have their best effect, they need  
15 to be timed in such a way to not have these  
16 standard therapies interfere with their efficacy.

17 So one approach would be to give the  
18 standard therapy, namely radiation, chemotherapy  
19 and surgery, to the patient. And then at a time  
20 that that patient is still at very high risk for  
21 relapse but has gotten whatever benefit one thinks  
22 one could get from such therapies, then to

1       integrate into that the immunotherapy approach.

2               In the setting of phase 1 and phase 2  
3       testing, these patients have often been treated  
4       with multiple courses of therapies and identify  
5       patients that are appropriate for phase 1 or  
6       phase 2 treatment and test these approaches there.  
7       And then because there are different kinds of  
8       immunotherapies, one should look at what kind of  
9       cancer one is treating and how the particular  
10      therapy might have certain benefits for a  
11      particular disease.

12              So the overriding hypothesis for all of  
13      immunotherapy is that at least some immune cells  
14      have the capability of distinguishing somehow  
15      between normal and cancerous tissues. And this  
16      recognition could allow the selective recognition  
17      of cancers and destruction of cancer cells while  
18      causing little damage to normal tissues. The  
19      structures that T cells recognize on cancers are  
20      called antigens. The structures that the innate  
21      immune system recognizes on cancers are, in  
22      general, considered stress molecules or molecules

1       that label the cell as being unhappy or not normal.

2               In the setting of cancer antigens, there's a  
3       large list of different antigens that have been  
4       described in mouse tumors and in human cancers,  
5       multiple different categories. For the most part,  
6       the first five of these categories are  
7       molecules -- excuse me. The first four of these  
8       categories are molecules that are expressed highly  
9       on cancer cells but are also expressed on some  
10      normal cells, but hopefully on a very small  
11      population of normal cells or only on stem cells.

12             These are targets that would be expected to  
13      cause some degree of autoimmunity. By turning on  
14      an immune response against the cancer, one might  
15      expect some immune destruction of certain normal  
16      tissues. And one of the questions to raise is, is  
17      that autoimmune destruction going to be different  
18      in children than it is in adults, particularly if  
19      we're dealing with differentiation antigens that  
20      are expressed on earlier differentiating cells,  
21      might this be a problem particularly in very young  
22      children?

1           Second are cancer antigens that are unique  
2     to the cancer itself, the molecules associated with  
3     the mutations that are used to form the cancer  
4     itself. And some of these are shared between  
5     different cancers in different people, and some of  
6     these are unique to the individual cancer.

7           There are some antigens that are caused by  
8     viruses that cause cancer. Certainly, the HPV  
9     virus for example is a very important cancer  
10    antigen in cervical cancer, and vaccination against  
11    that is causing a benefit in preventing the cancer.  
12    But the focus       of today's discussion is not  
13    prevention; it's on treatment. And there are very  
14    few cancers in children that are specifically  
15    caused by viruses.

16           Possibly, the most important antigens in  
17    cancer, at least based on animal research, are  
18    those mutations that are associated with amino acid  
19    changes in certain proteins that make those  
20    proteins immunogenic. And these occur sporadically  
21    as part of the genetic damage as part of the cancer  
22    itself.



1           Interestingly, if one looks at the spectrum  
2 of human cancer, the number of mutations that are  
3 found in each individual case of cancer differs  
4 dramatically between the different kinds of cancer  
5 types. On the right-hand side of this graph, you  
6 see the common cancers in adult: lung cancer,  
7 bladder cancer. At the very far right is melanoma.

8           These cancers have roughly two logs more  
9 mutations than the number of mutations that are  
10 seen in the pediatric cancers that are shown at the  
11 left. For example, neuroblastoma, the example  
12 that's starred, has roughly 14 non-silent mutations  
13 per case, non-silent meaning that there's a  
14 mutation in a protein that would potentially be  
15 immunogenic. It's about 20-fold fewer than that in  
16 melanoma. This might impact on how the patient's  
17 own immune system might be able to recognize  
18 pediatric cancers versus adult cancers.

19           So if we look at the components of an immune  
20 response to cancer, starting with the T cell  
21 response, the antigen-presenting cell, the APC,  
22 needs to see either the cancer or cancer antigens,

1 possibly given through a cancer vaccine. It  
2 modifies those molecules in a way to put them into  
3 the cleft of the MHC, the HLA molecule. And then  
4 the T cell has a receptor that recognizes the  
5 antigen as presented by the HLA. That is signal 1,  
6 and that's what's shown in the red box.

7 At that same time, a separate signal 1 is  
8 used to activate that T cell using a B7.1 or 2  
9 molecule that interacts with a CD28 molecule on the  
10 T cell. If both of those signals occur at the same  
11 time in a T cell that has the capability to  
12 recognize that tumor antigen, that T cell is  
13 dramatically activated to proliferate, to release  
14 cytokines, to differentiate into either a helper or  
15 a killer T cell. And if that antigen is a cancer  
16 antigen, then those T cells would be able to  
17 recognize the cancer antigen and mediate an  
18 organized multi-potent immune response against  
19 this.

20 I'd now like to focus on three separate  
21 components of the immune response and how we might  
22 use them therapeutically in the setting of

1        pediatric cancer. Number 1 is T cell recognition;  
2        2 is innate immunity, and 3 is antibody immunity.

3                In the setting of T cell recognition of  
4        cancer, here again there are really two forks in  
5        the road. The first fork is to say by the time the  
6        patient's diagnosed with cancer and we're  
7        interested in doing something immunotherapeutically  
8        for that patient, that patient's cancer has some  
9        antigens on it that the patient's own T cells are  
10       able to recognize. It's just that the patient's  
11       T cells are not potent enough to make an  
12       immunologic result against that cancer, and that  
13       patient's immune system needs some help. In other  
14       words, there's an immune response going on, but  
15       it's just not effective enough.

16               So there is a long list of things that are  
17       being used now in the clinic to try and expand the  
18       patient's own T cell response: expanding the  
19       specific population with vaccines; using molecules  
20       that can pan-activate cells that have been  
21       initially activated, like IL2 or IL-15; or using  
22       approaches to block the immunoregulatory

1 suppressive mechanisms; to purify and expand the  
2 patient's own T cells that are responding and give  
3 them back to the patient, the tumor-infiltrating  
4 lymphocyte approach; or to block inhibitory  
5 pathways, the checkpoint blockage approach that  
6 this morning's session is focused on.

7           But the other fork in the road assumes that  
8 by the time the cancer's been diagnosed, that  
9 patient's immune system just doesn't have what it  
10 takes to be able to recognize the cancer. And in  
11 that setting, there still are things one can do  
12 with T cell immunotherapy, but they involve taking  
13 cells out of the patient and modifying them  
14 genetically to give them the capability of  
15 recognizing the patient's own cancer and then  
16 making an immune response. This is the so-called  
17 chimeric antigens receptor T cell approach.  
18 Another is going to the use of somebody else's  
19 immune cells, allogeneic cells that have the  
20 capability of recognizing the patient's own tumor  
21 in the setting of bone marrow transplant or  
22 allogeneic infusions.

1           In the setting of the innate immune system,  
2   natural killer cells, macrophages, and neutrophils,  
3   again, there are a variety of agents that are being  
4   used in the clinic to activate these cells with the  
5   hope of having a beneficial antitumor effect. IL2  
6   and IL-15 have been mentioned already. CD40  
7   ligation uses a monoclonal antibody to activate  
8   macrophages in particular. GM-CSF and other  
9   toll-like receptor agonists can activate these  
10   innate immune cells to expand and recognize stress  
11   cells like tumor.

12           In addition, other approaches can try and  
13   block the tumor-induced immune suppression. One  
14   can select patients that have the appropriate kind  
15   of receptor genes to allow their innate immune  
16   cells to respond beneficially to their  
17   cancer -- I'll mention an example to this  
18   later -- or one can augment the immune cell to  
19   tumor cell ratio. This involves purifying the  
20   patient's own cells, expanding them ex vivo, like  
21   natural killer cells, and giving them back to the  
22   patient with other immunotherapies.

1           The last example, and the one that we'll  
2       focus the rest of this talk on, is that of  
3       monoclonal antibodies, which were first described  
4       in '75 and have now become amongst the largest  
5       selling drugs in the world for a variety of  
6       applications. In the setting of cancer therapy,  
7       there are two separate approaches for the use of  
8       monoclonal antibody.

9           One involves using monoclonal antibodies  
10      that recognize targets that aren't on the cancer  
11      cell at all. These antibodies recognize targets  
12      that are present on various immune cells or on  
13      other cells in the patient and are designed to have  
14      some beneficial effect against the cancer, such as  
15      monoclonal antibodies against endothelial [ph]  
16      growth factors that block the growth stimulation of  
17      cancer cells. The other category are the  
18      monoclonal antibodies that recognize antigens or  
19      molecules that are on the cancer cells, and these  
20      reagents have a direct effect against the cancer.

21           So the first category are those antibodies  
22      that see molecules not on the cancer but on normal

1 cells. I mentioned the example on the left of  
2 antivasular endothelial growth factor. On the  
3 right is an example of a checkpoint blockade  
4 antibody that has gotten a lot of attention over  
5 the past few years, ipilimumab. This is an  
6 antibody that recognizes an inhibitory molecule on  
7 T cells.

8 Shown in this cartoon is the activation of  
9 T cells through an antigen-presenting cell. The  
10 T cell sees signal 1 and signal 1, as I mentioned  
11 before, the antigens and a co-stimulatory signal.  
12 Once that happens, in order for a physiologic  
13 immune response not to get out of control, the  
14 T cell needs to be able to turn that response off  
15 so it up-regulates this molecule called CTLA4,  
16 which also recognizes B7.1. This activated T cell,  
17 when it sees that signal 2 has an inhibitory signal  
18 that turns that T cell off and prevents it from  
19 mediating further damage. By blocking that CTLA4  
20 signal, one can keep that T cell responding.

21 So in the context of an immune response,  
22 where does CTLA4 versus PD-1 fit in? We talked

1     about this schema where an antigen-presenting cell  
2     is seeing a tumor antigen, presenting it through  
3     its MHC to the T cell receptor, and stimulating  
4     through signal 1 and signal 2. In that setting,  
5     the immune cell would be turned on.

6             However, in addition to that, if the CTLA4  
7     molecule is up-regulated and gets its signal,  
8     there's an inhibitory signal that will prevent this  
9     immune activation from occurring. So if one blocks  
10    that CTLA4, one can enhance the likelihood of the  
11    immune response moving in that direction.

12            Now, once the immune system is turned on, it  
13    needs a way to turn itself off. And these immune  
14    cells, after they've been turned on and chronically  
15    stimulated, up-regulate a PD-1 molecule that allows  
16    them to see the ligand, PD-L1, that is expressed on  
17    some but not all tumors and some antigen-presenting  
18    cells. And that interaction will then turn these  
19    cells off.

20            So the PD-1 pathway involves recognition of  
21    the ligands, PD-L1 or PD-L2, on tumor cells or on  
22    certain antigen-presenting cells. That transmits



1       this negative signal that blocks the T cells'  
2       activation. In order to allow that T cell to  
3       continue being active, antibodies such as anti-PD-1  
4       can block that receptor and prevent that  
5       inhibition, allowing a cell that's already been  
6       activated to continue on in its active function.

7               So clinically, over the past few years,  
8       there has been some exciting data with the use of  
9       anti-PD-1. I'll show a couple of examples that  
10      I've received from Mario Sznol documenting adult  
11      cancers, that single agent use of nivolumab is able  
12      to provide antitumor effects in adult cancers like  
13      non-small cell lung cancer, melanoma, renal cell  
14      cancer, with a significant number of complete  
15      responses and partial responses. Most  
16      interestingly, a number of patients that are  
17      responding are showing prolonged responses,  
18      indicating some kind of longer lasting immune  
19      effect even after the antibody therapy has been  
20      completed.

21              Again, looking at the different roles of  
22      CTLA4 versus PD-1, I'd like to focus on where these

1 interactions are likely taking place. The antigen-  
2 presenting cell that is turning on the T cell  
3 through signal 1 and signal 2 then would be  
4 activating through CTLA4 to turn the cell off.  
5 Blocking CTLA4 here will allow that cell to be  
6 activated. This happens early on in the T cell's  
7 response to the foreign antigen or to the cancer  
8 antigen and might be expected to happen in the  
9 lymph nodes and other central immune organs.

10 Once the CTLA4 has been blocked, that immune  
11 cell is going to be activated and circulate  
12 throughout the patient's body, and be able to go  
13 wherever it might see antigens that cross-react  
14 with it, and might be expected to cause some  
15 autoimmune reactions.

16 In contrast, the PD-1 arm takes cells that  
17 have already been activated through that signal 1  
18 and signal 2 and have already trafficked to the  
19 tissues, where they are recognizing the antigen  
20 that is keeping them stimulated. And in that  
21 setting, the interaction of PD-1 and PD-L1 might  
22 turn those cells off in the tissues. Blocking PD-1

1 might allow those cells to continue having their  
2 function. In order to have this effect, one would  
3 expect that these cells that express PD-L1 have to  
4 have been activated already.

5 So based on that hypothesis, in order to  
6 turn on more cells that are going to be augmented  
7 by PD-1 blockade, it makes sense to use a  
8 combination approach. And this exciting work  
9 involves a combination of anti-CTLA4 and anti-PD-1.  
10 These data are data presented at ASCO by Jen  
11 Wolchok. And as you can see, using this  
12 combination approach, the majority of patients with  
13 melanoma that got this combined therapy showed very  
14 striking antitumor effects with quite prolonged  
15 responses. The prolonged response involved a  
16 significant long-term stability for so many  
17 patients long after the therapy had been completed

18 Now, in order for the PD-1 antibody to work,  
19 one would expect that you have to block the PD-1  
20 molecule from seeing its ligand, PD-L1. And so  
21 there's some nice data suggesting that the efficacy  
22 of PD-1 antibody in the clinical setting may

1 correlate with the expression of the PD-L1 molecule  
2 on tumors, as shown here. But the interaction of  
3 the PD-1 receptor and PD-L1, requiring PD-L1 on the  
4 tumor can allow a T-cell that's responding against  
5 a tumor to actually cause the tumor to start to  
6 change.

7           So a PD-L1 deficient tumor, a tumor that's  
8 not expressing that ligand, can actually  
9 up-regulate it in response to immune damage or  
10 other damage. And that may potentially explain  
11 why, with that adoptive approach, the combination  
12 of anti-CTLA4 and anti-PD-1 was able to get  
13 significant responses even in patients that were  
14 PD-L1 negative, likely by up-regulating PD-L1 in  
15 the tumor.

16           So looking at this in terms of moving  
17 forward, one might expect that for patients that  
18 have a strong endogenous, antitumor response going  
19 on from their own immune cells already, that  
20 ongoing immune response is trying to destroy the  
21 tumor, which is up-regulating PD-L1 on the tumor.  
22 And in that setting, blocking PD-1 should help

1       those responding cells to do an even better job.

2               In contrast, if a patient does not have a  
3       very strong immune response against the tumor  
4       already going on, and if their tumor does not  
5       express PD-L1, in that setting, it may make sense  
6       to use some other kind of therapy to try and  
7       destroy tumor and/or turn on an immune response to  
8       allow up-regulation of PD-L1 on the remaining  
9       tumor. And in that setting, one could then use  
10      anti-PD-1 antibody and get a beneficial response.

11             I'd like to switch now to a very brief  
12      overview of monoclonal antibody therapy separate  
13      from the use of antibodies that recognize targets  
14      on normal tissues, namely the whole use of  
15      monoclonal antibodies against antigens that are  
16      present on cancer cells.

17             These antibodies are able to recognize  
18      tumors, and they can be conjugated with a variety  
19      of toxins or other molecules to carry them to  
20      tumors. They can also mediate specific immune  
21      function, destruction against the tumor. There are  
22      a variety of separate monoclonal-based agents that

1 have been used: single-chain antibodies, amino  
2 toxins, conjugates, and chimeric antigen receptors.  
3 Bifunctional antibodies such as blinatumomab  
4 utilize this approach and allow an antibody  
5 recognition structure to recognize the tumor, and  
6 then bind to a T cell and turn on the immune  
7 response.

8 So I'd like to now focus on there separate  
9 places where an antibody binding to the tumor,  
10 interacting with an effector cell, such as a  
11 natural killer cell, might potentially allow an  
12 immune response to be augmented therapeutically.  
13 Each of these six separate places are where  
14 molecular interventions can try and benefit this  
15 interaction therapeutically.

16 I'd like to focus on the activation state of  
17 the immune cells on what's happening at the FC  
18 under the antibody and on other receptors that can  
19 impact the interaction between the immune cells and  
20 the cancer in the fact of a monoclonal antibody.

21 This is a situation for a neuroblastoma.  
22 Patients with high-risk neuroblastoma as of a few

1 years ago, diagnosed with metastatic disease, have  
2 on the order of a 30 percent disease-free survival.  
3 We began working with Ralph Reisfeld who generated  
4 an antibody against the GD2 molecule. That work  
5 was done in parallel to work by Nai-Kong Cheung,  
6 who generated a separate antibody against the same  
7 antigen. This molecule is expressed on  
8 neuroblastoma, melanoma, and certain other  
9 pediatric sarcomas, as well as some adult sarcomas;  
10 but not on most epithelial cancers or normal  
11 tissues.

12 Dr. Jackie Hank in our laboratory did some  
13 in vitro experiments that in summary showed we  
14 could get much better antitumor effects if we  
15 treated neuroblastoma cells with the antibody and  
16 activated the immune cells with IL2 at the same  
17 time. However, when we took blood from cancer  
18 patients, we couldn't get a very strong reaction  
19 from some patients. We had very little reaction  
20 from other patients.

21 These patients happened to be adults that  
22 were referred to our team to get IL2. After

1     getting a few weeks of in vivo IL2, we took blood  
2     from those same individuals and now could see  
3     striking killing of the neuroblastoma cells in  
4     vitro, as long as we had both the IL2 and the  
5     antibody in the in vitro reaction.

6             We hypothesized that we could generate those  
7     same kinds of conditions in patients with cancer by  
8     giving them this same mini-week regimen of IL2  
9     along with the monoclonal antibody when we knew  
10    their innate immune cells were activated. We  
11    worked with my colleague, Mark Albertini, to treat  
12    melanoma patients. And we worked through the  
13    children's cancer group and then the Children's  
14    Oncology Group to generate phase 1 and phase 2 data  
15    in neuroblastoma. We learned a lot about  
16    pharmacodynamics and pharmacokinetics. But for the  
17    most part, patients with bulky tumors weren't  
18    showing much response.

19            We then teamed up with Dr. Alice Yu from  
20    UCSD. She, along with Dr. Cheung at  
21    Sloan-Kettering, had been using GM-CSF to activate  
22    some innate immune cells, namely neutrophils and



1       macrophages. We were using IL2 to activate the  
2       natural killer cells. We also knew that if we were  
3       to use this approach in patients with very small  
4       amounts of disease rather than bulky disease, we  
5       might get better penetration of the antibody, and  
6       we wouldn't have to contend as much with the  
7       myeloid derived suppressor cells or T regulatory  
8       cells that might suppress the immune system.

9               We generate a regimen that was tolerated  
10       acceptably by children that were in remission after  
11       an autologous bone marrow transplant. I won't go  
12       through the details. Then in 2001, we began moving  
13       this towards a phase 3 study through the Children's  
14       Oncology Group that began accruing patients in  
15       2003. Accrual ended in 2009. Children had  
16       finished all of their surgery, radiation, or  
17       autologous transplant, and chemotherapy, and were  
18       then randomized to that immunotherapy regimen or  
19       not, along with cis-retinoic acid.

20               We learned that the immunotherapy arm was  
21       providing benefit as far as event-free survival.  
22       At the two-year time point, the significant

1 differences were 66 percent versus 46 percent. And  
2 at that point, we stopped doing the standard  
3 therapy, and all children were moved over to the  
4 immunotherapy arm.

5 So that's an example of activating the  
6 effector cells with IL2 and GM-CSF and using a  
7 monoclonal antibody together. The last example  
8 I'll give involves a molecule that fuses those  
9 concepts. This is a humanized antibody that  
10 recognizes that same GD2 antigen. This was created  
11 by Steve Gillies and Ralph Reisfeld. And it acts  
12 to activate cells through their FC receptor. But  
13 in addition, IL2 is put on to the end of each  
14 immunoglobulin heavy chain to activate cells that  
15 have IL2 receptors.

16 In mouse models, this molecule is quite  
17 potent. What's shown here are mice with metastatic  
18 neuroblastoma that got treated with saline. Each  
19 of these bars is the number of metastases in the  
20 liver. These mice got treated with antibody and  
21 IL2, and these mice got treated with antibody  
22 linked to IL2, using the same molar amounts of the

1 two agents, as shown in the middle graph.

2 Based on this, we initiated clinical trials  
3 of this fusion protein. We also did mouse work to  
4 ask when is the best time to use this kind of  
5 therapy. What's shown here are the number of  
6 metastases in mice with metastatic neuroblastoma.  
7 These mice got treated with saline. These other  
8 groups of mice all got treated with the exact same  
9 immunotherapy regimen, 5 days of this antibody  
10 linked to IL2. The only difference is when we  
11 started the therapy.

12 You can see that the earlier we start the  
13 therapy, after the tumor is put in, we see a far  
14 greater effect, consistent with our hypothesis that  
15 this approach is going to work better in minimal  
16 residual disease for a variety of reasons.

17 Why is linking IL2 to the antibody more  
18 effective? We've done a fair amount of preclinical  
19 data on this. I'll skip the data, but just show  
20 our conclusion. When antibodies are mediating  
21 antibody-dependent cellular cytotoxicity, they work  
22 through the FC receptor on effector cells, like

1 natural killer cells or macrophages that are  
2 binding through the antibody to the tumor, and  
3 allowing recognition, and activation, and killing.

4 When we use an antibody that has IL2 on the  
5 end as well, we can get some additional activation  
6 through IL2 receptors that might augment the  
7 activation through FC receptors. And finally,  
8 there are a variety of cells in the immune system  
9 that don't have FC receptors but do have IL2  
10 receptors, and these cells can bind to the tumor  
11 through the IL2 that is now coating the tumor using  
12 this antibody IL2 fusion protein.

13 So we've done clinical trials. A phase 2  
14 clinical trial of this approach looked at the  
15 difference of patients with neuroblastoma,  
16 refractory relapse neuroblastoma, that was either  
17 bulky and measurable by an MIBG scan -- excuse  
18 me -- bulky and measurable by a standard CT scan or  
19 MRI. That's stratum 1; or patients that had  
20 relapse disease but had less bulky disease.  
21 Couldn't be seen by a CRT or an MRI but could be  
22 seen by MIBG or bone marrow histology.

1           In this phase 2 study, which has been  
2 published, 7 out of these patients showed some  
3 clinical benefit. All of the patients that showed  
4 clinical benefit were in stratum 2, the ones with  
5 the less bulky disease. None of the patients with  
6 bulky disease showed response. We did the T test  
7 and came up with a p-value of .03 between the two  
8 separate arms, consistent with our mouse data,  
9 saying that this kind of approach is better if  
10 there's less bulky tumor.

11           The last point I'd like to make is looking  
12 at other receptors on the effector cells and how  
13 they can be used to influence the efficacy of  
14 immunotherapy. Here are natural killer cells, and  
15 they have a variety of receptors. One set of  
16 receptors are called killer inhibitory receptors or  
17 killer immunoglobulin like receptors, KIR. It's  
18 the red receptors shown on the NK cell at the top.  
19 These receptors recognize HLA molecules, and they  
20 transmit an inhibitory signal. This is an  
21 oversimplification, but the KIR molecules that have  
22 been focused on most are the ones that transmit the

1 inhibitory signal.

2 If the NK cell has that receptor, but it  
3 does not recognize the HLA molecule that triggers  
4 it, the inhibitory signal won't be activated. And  
5 as a result, that NK cell can be turned on and kill  
6 the target.

7 These interactions have been proven to be of  
8 great importance in bone marrow transplants for  
9 both acute myeloid leukemia and lymphocytic  
10 leukemia in children and adults. But in addition,  
11 they seem to be important in autologous bone marrow  
12 transplants. And this is because the KIR genes are  
13 controlled by chromosome 19, while the HLA genes  
14 are controlled by chromosome 6.

15 As such, each of us has a repertoire of our  
16 KIR genes and a separate repertoire of our HLA  
17 genes. Roughly, 60 percent of the population has  
18 inherited at least one KIR gene for which we don't  
19 have an HLA gene. Such patients are called  
20 mismatched, and their NK cells might be expected to  
21 be a little bit more active or twitchy. Forty  
22 percent of the population has inherited a

1 repertoire of KIR genes for which every KIR gene  
2 has a corresponding HLA gene. That 40 percent  
3 might be expected to have NK cells that are  
4 slightly less potent. When you look at the results  
5 of autologous transplant for pediatric childhood  
6 tumors work coming out of St. Jude and  
7 Sloan-Kettering, those children with the mismatched  
8 situation do better.

9 We hypothesized that this KIR/KIR/ligand and  
10 mismatch really didn't pertain to bone marrow  
11 transplant, but pertained to immunotherapy that  
12 acted through natural killer cells like ADCC. So  
13 when we looked at our patients that got treated  
14 with the antibody and linked to IL2, all seven of  
15 those patients that showed benefit were in the KIR  
16 mismatched group. None of them were in the KIR  
17 matched group, really statistically significant and  
18 showing that it is the natural killer cells that  
19 seem to be responsible for this effect and that  
20 other receptors on the effector cells may be  
21 important.

22 Since we published that result, the

1 Sloan-Kettering team has done a much larger study  
2 through the labs of Kathy Hsu and Nai-Kong Cheung,  
3 looking at patients with neuroblastoma, treated  
4 with an anti-G2 antibody either without or with a  
5 bone marrow transplant. And in all cases, there's  
6 a clear association of antitumor benefit with this  
7 KIR mismatch setting.

8 So in summary, there is a lot that can be  
9 done with monoclonal antibodies. They have an  
10 effect against childhood cancer. This slide really  
11 summarizes where in vivo ADCC might be going,  
12 including the use of antibodies and effector cell  
13 activating agents, using the KIR/KIR/ligand and  
14 other receptor benefit in order to try and have an  
15 effect with the important caveat. And in order for  
16 this to apply, we need to identify better targets  
17 on pediatric cancers that might be recognized by  
18 monoclonal antibodies.

19 So in summary, there are several agents that  
20 are already being used immunotherapeutically. They  
21 have impact on cancer and are already approved.  
22 Some of these agents are already being used in



1 children and in adults with efficacy, such as  
2 rituximab and others. Many of the agents that  
3 could be applied in children have efficacy in  
4 adults.

5 The setting of using these approaches in  
6 children may be somewhat different than adults  
7 because we have therapies that are already curing  
8 many children and putting so many into remission.  
9 So we need to build our immunotherapy strategies  
10 around that success and integrate it into them.

11 Finally, we might need to look at how we can  
12 add these immunotherapies to our standard therapy  
13 currently, and then do very careful clinical work  
14 to see how, in the future, we might be able to use  
15 immunotherapy to get some efficacy, and then  
16 gradually pull back on some of the genotoxic  
17 chemotherapy that's causing long-term effects in  
18 our children, to see if immunotherapy might be able  
19 to substitute for some of that.

20 So I'll end there and just recognize many of  
21 the people that have been involved in our research  
22 that I've tried to mention along the way. Thanks

1 very much.

2 (Applause.)

3 **Clarifying Questions from Subcommittee**

4 DR. SMITH: Thank you for that excellent  
5 introduction to the session, Paul. We have time  
6 for some questions from the committee on the  
7 presentation.

8 UNIDENTIFIED FEMALE: So I'm not an  
9 immunologist, so this may be an ignorant question.  
10 But whenever we see the cartoons of the immune  
11 cells and tumor cells, there's one T cell for each  
12 tumor cell. And I know that's an  
13 oversimplification, but is there a minimum number  
14 of T cells that you need or that function in order  
15 to get a response to the tumor cells?

16 DR. SONDEL: It's a great question. At the  
17 single cell level, the cartoons are accurate. A  
18 single T cell interacting with a single tumor, if  
19 it's the right kind of T cell with the right  
20 receptor and right activation state, is able to  
21 kill that single tumor. Now, that right T cell is  
22 a more quantitative question because if you look at

1 the number of T cells you've got in your body, it's  
2 only a tiny, tiny fraction of them that have the  
3 right receptor for any particular antigen, even  
4 strong antigens like viral antigens.

5 So the fraction of T cells that might have  
6 the right receptor for a tumor antigen might be on  
7 the order of 1 out of a thousand or less. So in  
8 order for this to happen in vivo, that T cell has  
9 to get to the tumor.

10 At the quantitative level, if a T cell has  
11 the right kind of receptor and the right activation  
12 mechanism, it can be stimulated to expand and  
13 proliferate an increase in its numbers. So some  
14 recent work using the chimeric antigen receptor  
15 T cell approach has been able to show by counting  
16 the number of T cells given to the patient and  
17 estimating the amount of leukemia cells in the  
18 patient, that a single T cell with the right  
19 receptor given to a patient with leukemia can  
20 induce a complete response that requires that that  
21 one T cell must have killed a thousand separate  
22 leukemia cells. Now, it didn't do it by having

1       that one T cell kill all the leukemia. That one  
2       T cell went through several rounds of division and  
3       expanded in the patient. But that one T cell's  
4       progeny were able to kill all those leukemia.

5               DR. SEKERES: Thank you, Dr. Smith.

6               So you mentioned there are potential issues  
7       with immunotherapy in children, including  
8       differentiation antigen may see developing tissues  
9       in an infant, limited non-essential tissues in an  
10      infant. It's unclear whether developing tissues  
11      express antigens shared with cancers. Immune  
12      attack may interfere with normal growth, et cetera.

13              Have you seen any of these clinically or are  
14      these all theoretical? So in the examples of when  
15      immunotherapy may have been used in kids already.

16              DR. SONDEL: I raise those really as  
17      theoretical examples. Some of these antigens that  
18      are expressed on adult cancers are expressed on  
19      certain differentiating cells. But at this point,  
20      to my knowledge, there has not been a really  
21      systematic evaluation of the expression of these  
22      antigens on pediatric cancers and particularly on

1       early developing pediatric tissues.   Such an  
2       approach needs to be done.

3               In the setting of patients with refractory  
4       or relapse cancers that are going to be dying, it  
5       seems appropriate to test some of these approaches  
6       that are showing benefit in adults and to look  
7       carefully at the possibility of some of these  
8       toxicities in children.   We need to be aware of it,  
9       but I think some additional lab work needs to be  
10      done both preclinically and careful monitoring of  
11      children that are going to be getting these  
12      treatments.

13             DR. SEKERES:   But just to be clear, you're  
14      talking about lab work.   This has not been seen  
15      clinically in kids before.

16             DR. SONDEL:   Correct.

17             DR. SEKERES:   Okay.   Thank you.

18             UNIDENTIFIED MALE:   A couple of questions.  
19      One, could you comment on what is known about the  
20      frequency of tumor-infiltrating lymphocytes in  
21      different childhood cancers and can be evidence for  
22      some preexisting reactivity of T cells?   And the

1 second question, you showed the hypothesis that the  
2 immunotherapy may be effective in cancers with more  
3 mutations, like melanoma, lung cancer. Pediatric  
4 cancers might be less likely to respond to  
5 immunotherapy because of fewer mutations per cancer  
6 cell. What are the data to support that?

7 So those are the two questions.

8 DR. SONDEL: Again, the data are somewhat  
9 sparse. A lot of the data are these laboratory  
10 data looking at the number of mutations, and mouse  
11 data, where these phenomena has been looked at. In  
12 general, not always, careful studies done in  
13 evaluation of immune system interacting with mouse  
14 tumors have been predictive of what we've seen when  
15 these concepts are applied to the clinical setting  
16 if they've been applied in a way that fairly  
17 extrapolates from the setting in the mouse to that  
18 in the patients.

19 With respect to tumor-infiltrating  
20 lymphocytes, there are a number of pediatric  
21 cancers where there are some tumor-infiltrating  
22 lymphocytes, but it's not an across the board for a

1 particular histology. Clearly, within a particular  
2 histology, some patients might have more  
3 tumor-infiltrating lymphocytes than others, as is  
4 seen in the adult setting. And some of this is  
5 being regulated by some of the host genes that  
6 influence the way the immune cells function, as  
7 well as the sporadic antigens expressed on the  
8 tumor. So here again, I don't think that there's a  
9 lot of data.

10 With respect to fewer antigens potentially  
11 on pediatric cancers, that was referring to these  
12 sporadic antigens associated with genetic damage  
13 that are causing amino acid substitutions that in  
14 theory might look foreign to the immune system  
15 because they reflect proteins that have been  
16 modified as different from what's on the patients'  
17 own cells that would be causing tolerance.

18 Separate from that though, these  
19 differentiation antigens that our immune systems  
20 are potentially tolerant to, but tolerance can be  
21 broken. There's no reason to suspect that there's  
22 any difference in the number of those on pediatric

1       cancers than there are on adult cancers.

2               Some preliminary data looking at adults with  
3 melanoma suggests that some of the immune responses  
4 in patients that are getting checkpoint blockade  
5 are actually directed against some of those  
6 differentiation type antigens, like marked or  
7 tyrosinase that don't require a mutation in order  
8 to recognized. And so one would expect those same  
9 kinds of mutations to be seen on pediatric tumors.

10           DR. SMITH: Are there any other questions?  
11 Dr. Reaman?

12           DR. REAMAN: Paul, can you just comment. In  
13 the pediatric tumor situation with the lower  
14 frequency of mutations and the resulting decreased  
15 immunogenecity of tumor antigens, if they're  
16 present, is there a way to attempt to overcome that  
17 with stimulation with IL2 and GM-CSF? Is that a  
18 potential approach?

19           DR. SONDEL: Yes. So if in fact those  
20 mutated antigens are the most important -- although  
21 in response to Malcolm's question, they're not the  
22 only ones. But if those are very important,



1 anything we can do to try and boost the patients'  
2 immune response to expand the rare population of  
3 T cells that have the kind of T cell receptor  
4 rearrangement to recognize those should potentially  
5 enhance the ability to do that.

6 So even though there's only 14 separate  
7 actionable mutations in a case of neuroblastoma, it  
8 seems to me that there's a pretty reasonable chance  
9 that at least one of those mutations is going to  
10 involve an amino acid substitution that could  
11 presented by an MHC in order to turn on a T cell  
12 response. It's just getting that rare T cell  
13 that's got the capability of recognizing it to  
14 expand. But again, remember the third slide I  
15 showed from Drew Pardoll, the T cell receptor  
16 capability repertoire has the potential to generate  
17 T cell receptors that could recognize 10 to the  
18 18th antigen.

19 So we should have the capability to see the  
20 vast majority of mutations, even if rare, by our  
21 T cells. The question really is how immunogenic  
22 are those individual mutations.

1 DR. REAMAN: And then the other question is,  
2 given that pediatric oncology, at least the  
3 approach to childhood cancer, has been multi-agent  
4 and multimodal, is there a potential for multiple  
5 immunotherapeutic approaches? So ADCC and  
6 checkpoint blockade, is that something that has  
7 some potential hypothetical basis or consideration?

8 DR. SONDEL: We're very excited about that,  
9 and we're fortunate to be just initiating some  
10 laboratory studies, trying to test that. But since  
11 some NK cells do express PD-1, it would make sense  
12 to try and induce ADCC and combine that with PD-1  
13 blockade. That's just one example. But just as  
14 the incorporation of chemotherapy in childhood  
15 cancers has really shown that you need to attack  
16 cancer from many different angles in order to  
17 prevent escape, when one's using the immune system,  
18 it makes sense to have separate antigens that are  
19 being targeted as well as separate pathways of  
20 destruction.

21 Therefore, using T cells and using ADCC and  
22 activating innate cells like macrophages, using

1       them against different antigens, all of this I  
2       think makes a lot of sense. And the question is  
3       how do we best put them together and learn in our  
4       clinical studies what's working.

5               DR. SMITH: Thank you very much again for  
6       that great introduction.

7               We will now proceed with an industry  
8       presentation from Merck, Sharpe and Dohme. But  
9       before we do so, I have to read a statement.

10              Both the FDA and public believe in a  
11       transparent process for information-gathering and  
12       decision-making. To ensure such transparency at  
13       the advisory committee meeting, FDA believes that  
14       it's important to understand the context of an  
15       individual's presentation.

16              For this reason, FDA encourages all  
17       participants, including the sponsor's non-employee  
18       presenters, to advise the committee of any  
19       financial relationships that they may have with the  
20       firm at issue, such as consulting fees, travel  
21       expenses, honoraria, and interests in the sponsor,  
22       including equity interests and those based upon the

1 outcome of the meeting.

2 Likewise, FDA encourages you at the  
3 beginning of your presentation to advise the  
4 committee if you do not have any such financial  
5 relationships. If you choose not to address the  
6 issue of financial relationships at the beginning  
7 of your presentation, it will not preclude you from  
8 speaking.

9 We will now proceed with the presentation  
10 from Merck.

11 **Industry Presentation - Robert Iannone**

12 DR. IANNONE: Good morning. I'd like to  
13 start by thanking the FDA for this opportunity to  
14 present the pediatric plans for MK-3475 on behalf  
15 of Merck. By way of introduction, I'm a pediatric  
16 oncologist by training. Prior to joining Merck,  
17 nearly nine years ago, I was on the faculty and  
18 staff of the University of Pennsylvania and  
19 Children's Hospital of Philadelphia in the section  
20 of bone marrow transplantation.

21 After giving some background on MK-3475, I'd  
22 like to discuss our strategy for identifying

1     pediatric indications, present our preliminary  
2     pediatric development plans, and conclude with a  
3     discussion of challenges and developing anti-PD-1  
4     therapies in childhood cancer.

5             This slide shows some of the key development  
6     milestones for MK-3475, starting with the melanoma  
7     IND application not quite three year ago. Melanoma  
8     orphan drug designation was granted in November of  
9     2012 and breakthrough designation in January of  
10    2013. There has been a pediatric waiver for  
11    melanoma in place since April of 2013, and one was  
12    granted for non-small cell lung cancer in October  
13    of 2013. Our pediatric investigation plan  
14    procedure is underway in the European Union.

15            MK-3475 is a high affinity, high potency  
16    humanized IgG4, PD-1 blocking antibody. It is  
17    engineered to have a mouse variable region, which  
18    is specific to PD-1 grafted onto a human framework.  
19    It has high affinity with a KD in the 29 picomolar  
20    range and high potency with an IC50 of 600  
21    picomolar. Consistent with an IgG4 antibody, there  
22    has been no observed cytotoxicity thus far.

1           MK-3475 is formulated for IV administration.  
2       Dosing in adults is weight based, and the current  
3       formulation will support weight-based dosing in the  
4       pediatric population all age subsets.

5           This slide summarizes the clinical  
6       pharmacology of MK-3475 in adults. It has  
7       approximately a 4-week half life. It's exposure  
8       increases linearly at and above 0.1 mgs per kg, one  
9       given every 3 weeks. And there's been a very low  
10      occurrence of anti-drug antibodies with no observed  
11      impact on PK.

12           Results from 135 patients with advanced  
13      melanoma treated as part of Protocol 1 was recently  
14      published in the New England Journal of Medicine.  
15      Patients in this cohort received 10 mgs per kg  
16      every 2 weeks or 2 or 10 mgs per kg given every  
17      3 weeks. The confirmed response rate per  
18      RECIST 1.1 was 38 percent. There were 38 partial  
19      responses and 6 complete responses. Forty-eight of  
20      these patients had been previously treated with  
21      ipilimumab, and response rates were similar between  
22      the groups.

1           This waterfall plot shows on the Y axis the  
2 percent change from baseline in the sum of longest  
3 diameters of target lesions and patients across the  
4 X axis. Seventy-seven percent of patients had  
5 reduction in their tumor burden. And as you can  
6 see from the color coding, responses were similar  
7 between patients who previously received ipilimumab  
8 treatment and those who were naive. This swimmer  
9 plot shows time to response and duration of  
10 response with individual patients plotted on the  
11 Y axis and time in weeks on the X axis.

12           The median duration of response had not been  
13 reached at the time of this analysis, with  
14 11 months of follow-up. And 42 of the 52 patients  
15 had been continuing treatment again at the time of  
16 the analysis. There were 10 discontinuations, and  
17 5 of these were due to toxicity. Interestingly,  
18 two patients who had discontinued therapy, pictured  
19 toward the bottom of the swimmer's plot, had an  
20 improved response even after discontinuation.

21           While drug related AEs were common, grade 3  
22 to 4 AEs occurred in 12.6 percent of patients.

1 Most commonly, these were fatigue, rash, pruritis,  
2 diarrhea, myalgia, headache, nausea, and asthenia.  
3 There were no treatment related deaths in this  
4 cohort.

5 This slide describes the potentially immune  
6 related AEs. Six patients had grade 1 to 2  
7 pneumonitis. Eleven patients had hypothyroidism,  
8 and one of these was grade 3. There was one case  
9 of grade 3 hyperthyroidism, and this was associated  
10 with grade 2, adrenal insufficiency.

11 Two patients had grade 3 or 4 transaminase  
12 elevations, and 2 patients had grade 3 renal  
13 insufficiency. One of these patients was  
14 documented to have nephritis on renal biopsy. We  
15 observed vitiligo in 12 patients, and there was one  
16 death in a 96-year-old man in the setting of  
17 pneumonitis due to complications from bronchoscopy  
18 and pulmonary biopsy. It's worth noting that  
19 colitis has been observed outside of this  
20 particular cohort of patients. Most treatment  
21 related AEs were successfully managed with  
22 treatment interruption and treatment with



1 glucocorticoids.

2 Results also from Protocol 1 in 38 patients  
3 with non-small cell lung cancer were recently  
4 presented at the 15th World Conference on Lung  
5 Cancer. These patients had received at least two  
6 prior therapies and were given MK-3475 at 10 mgs  
7 per kg every 3 weeks. The confirmed and  
8 non-confirmed response rate per RECIST 1.1 was  
9 21 percent, and the median duration of response had  
10 not yet been reached at 62 weeks.

11 Interestingly, preliminary data suggests  
12 that higher levels of PD-L1 on the patients' tumor  
13 were associated with increased clinical activity.  
14 Responses were observed in 4 out of 7 patients with  
15 higher PD-L1 expression and 2 out of 22 patients  
16 with lower expression. Drug related AEs occurred  
17 in 53 percent of patients, and only one was grade 3  
18 to 5. The overall profile of the adverse  
19 experiences were similar to the melanoma experience  
20 with rash, pruritis, fatigue, diarrhea, arthralgia,  
21 back pain, cough, and decreased appetite being the  
22 most common.

1           There was one instance of each of the  
2 following AEs, which were of interest:  
3 hyperthyroidism, hypothyroidism, pneumonitis, and  
4 pulmonary edema, which was the only grade 3 case.  
5 And this on further inspection was likely to be a  
6 case of pneumonitis, and that it responded to  
7 corticosteroids. And there was no evidence of  
8 congestive heart failure. There were no treatment  
9 related deaths in this cohort.

10           I'd like to now shift to a discussion of our  
11 strategy for identifying pediatric indications for  
12 treatment of MK-3475. This figure by Melera et al.  
13 published in Clinical Cancer Research shows that  
14 PD-1 expressed on T cells can interact with PD-L1  
15 on tumor cells. And PD-L1 and PD-L2 on tumor  
16 associated macrophages. We know that by blocking  
17 both ligands PD-L1 and PD-L2 with MK-3475, we can  
18 see dramatic antitumor responses.

19           Having also observed a relationship between  
20 PD-L1 expression on tumor and clinical outcomes  
21 with MK-3475 treatment, our hypothesis is that  
22 pediatric tumors that express PD-L1 are more likely

1 to respond to MK-3475. Therefore, our strategy for  
2 identifying pediatric indications is two-pronged.

3 We'll explore pediatric banked tumor and  
4 genomic databases for evidence of PD-1 pathway  
5 up-regulation. And then we'll use this information  
6 to prioritize tumors for evaluation in our phase 2  
7 study. Because we know that there would be some  
8 limitations to that approach, we also want to  
9 include an adapted design in phase 2 that would  
10 allow us to treat and explore multiple other  
11 indications. And then we would expand any  
12 indication where we observed clinical activity.

13 I'd like to now discuss in greater detail  
14 our preliminary pediatric development plans, which  
15 are currently under discussion as part of the PIP  
16 procedure in the European Union.

17 Phase 1 will include children between  
18 6 months and 18 years of age with advanced  
19 melanoma, advanced relapsed/refractory solid  
20 tumors, and lymphoma. We expect to evaluate 2 or 3  
21 dose levels using a typical 3-plus-3 dose design,  
22 but also to include an expansion cohort to confirm

1 the safety of the anticipated recommended phase 2  
2 dose. Our starting dose would be no more than  
3 50 percent of the exposure in adults at the maximum  
4 administered dose, and we would use data from the  
5 ongoing study to determine how many additional dose  
6 levels should be evaluated and what those dose  
7 levels should be.

8 Accordingly, the phase 1 objectives are to  
9 define the dose limiting toxicities, the maximum  
10 tolerated dose, and the maximum administered dose  
11 to characterize the PK in order to select a single  
12 dose that best approximates the PK exposure in  
13 adults at the recommended phase 2 dose that would  
14 then be used for further development in phase 2.  
15 We would also assess preliminary efficacy, again,  
16 to inform potential indications in phase 2.

17 Phase 2 will be a single-arm safety and  
18 efficacy evaluation at the pediatric recommended  
19 phase 2 dose in children between 6 months and  
20 18 years of age. Again, tumor types will be  
21 prioritized based on the PD-L1 expression data, as  
22 well as from signals observed in phase 1.

1 Additional indications will be evaluated as part of  
2 an adaptive design. For example, we'll enroll an  
3 initial cohort of around patients, evaluate for  
4 clinical efficacy, and then potentially expand that  
5 indication up to 20 to 25 patients to look for  
6 clinical efficacy.

7 The objectives for phase 2 would be to  
8 assess the safety and tolerability at the pediatric  
9 recommended phase 2 dose to evaluate objective  
10 tumor responses according to standard criteria and  
11 also to assess the relationship between PD-L1  
12 expression and clinical efficacy.

13 Our current proposal to meet global  
14 pediatric regulatory requirements is to select one  
15 pediatric indication based on phase 2 results for  
16 further evaluation in a randomized comparison to  
17 standard of care. The details of this phase 3  
18 study design, such as eligibility, comparator, and  
19 primary endpoint, will depend on the indication  
20 selected. We'd be very interested in the  
21 committee's input on whether a single-arm efficacy  
22 study could provide definitive evidence of efficacy

1 in any one particular clinical context.

2 I'd now like to discuss briefly our strategy  
3 to use PD-L1 as a biomarker for patient enrichment.  
4 Merck has developed an immunohistochemistry assay  
5 based on a mouse monoclonal antibody capable of  
6 detecting PD-L1 in formal and fixed  
7 paraffin-embedded human tumor samples. Preliminary  
8 data from MK-3475 clinical trials support its  
9 continued investigation as a predictive biomarker.  
10 If PD-L1 is truly predictive, then enrichment would  
11 help avoid treating patients who might not benefit  
12 from the drug.

13 This table describes how we plan to use this  
14 assay in clinical development. In phase 1, we  
15 would use this on an exploratory basis and only  
16 retrospectively. And phase 2 as part of the  
17 adaptive indication finding study, we would enrich  
18 patients on the basis of PD-L1 expression in their  
19 tumor in order to increase the likelihood of  
20 identifying a clinical signal on that particular  
21 indication. How we would use this in phase 3  
22 really depends on what we observe in phase 1 and

1 phase 2. But certainly this could be his  
2 prospectively either to enrich patients or to  
3 stratify to ensure balance across arms.

4 We've already covered several of the  
5 potential challenges in developing anti-PD-1  
6 therapies and MK-3475 in childhood cancers. I'd  
7 like to now spend some time on the question of  
8 combination therapies and the risk/benefit in  
9 children.

10 With regard to combination therapies, I  
11 would first emphasize that anti-PD-1 monotherapy  
12 could well be the optimal approach for some  
13 indications in some clinical settings. The optimal  
14 standard of care combination will certainly depend  
15 on which indications show monotherapy efficacy with  
16 MK-3475. And we should be aware that some  
17 combinations may actually have the potential for  
18 antagonism if the combination partner is  
19 immunosuppressive, as was mentioned previously.  
20 Certainly, the timing and the sequencing of the  
21 combinations will be important, especially if there  
22 is a component of immunosuppression from the

1 combination partner.

2 We think that immunotherapy combinations are  
3 very promising and are likely to be very important.  
4 Many of these combinations are currently under  
5 evaluation in adults, and we'll learn much from the  
6 outcome of those studies.

7 We were asked to consider the potential  
8 impact of the developing immune system on efficacy  
9 with anti-PD-1 therapies. While there are clear  
10 differences in immune function when comparing young  
11 children to adults, MK-3475 has the potential to be  
12 efficacious in pediatric tumors as well. Even  
13 young children are capable of mounting an immune  
14 response to either vaccines or viral infections.  
15 Therefore, we hypothesize that if a tumor has an  
16 endogenous antitumor immune response and has  
17 up-regulated PD-L1, then there's the potential for  
18 those tumors to respond to MK-3475.

19 We were also asked to consider the potential  
20 for adverse effects of long-term immune checkpoint  
21 inhibition. As you know, cancer immunotherapies  
22 have the potential to result in immune related AEs.



1       These immune-related AEs are being characterized  
2       with anti-PD-1 therapies in adults in terms of  
3       their organ site predilection, manifestations,  
4       kinetics of onset, and the optimal management. We  
5       should be aware that manifestations in children may  
6       certainly differ across age subsets. And  
7       therefore, careful monitoring and physician  
8       education will be critical.

9               Certainly, ongoing pediatric trials with  
10       other related immune therapies may highlight  
11       potential differences in the AE profiles between  
12       adults and children, which could help inform  
13       monitoring strategies in the clinic. And we look  
14       forward to a discussion from the committee on how  
15       to optimally monitor and protect the safety of  
16       children in these trials.

17              In summary, Merck is committed to the  
18       development of MK-3475 in childhood cancers.  
19       Pediatric development is ongoing, but it is in the  
20       early stages. And we believe we'll be further  
21       informed by some of the preclinical studies that we  
22       had mentioned and also the ongoing trials in

1 adults. We believe that evaluation of PD-L1 can be  
2 very important for identifying pediatric  
3 indications, but also potentially to enrich or  
4 stratify in clinical trials.

5 As mentioned, our PIP procedure is ongoing  
6 in the European Union, but the plans that we  
7 presented today were really intended to address the  
8 requirements in both the U.S. and Europe. We truly  
9 believe if well aligned, we'll facilitate pediatric  
10 development. Thank you very much for your  
11 attention.

12 **Clarifying Questions from Subcommittee**

13 DR. SMITH: Thank you. We can now have  
14 questions from the committee. Dr. Widemann?

15 DR. WIDEMANN: I was wondering if you saw in  
16 the trials that you did a relationship between PD-  
17 L1 expression and adverse events, and then between  
18 adverse events and responses observed. And  
19 finally, between adverse events and age, if you  
20 have looked at that?

21 DR. IANNONE: So for the first one, I don't  
22 know that we've looked at the data in a way that we

1       could link PD-L1 expression to adverse events.  
2       With regard to the other questions you asked, in  
3       the non-randomized data that we published in the  
4       New England Journal, it appeared that efficacy and  
5       adverse events were higher in the highest dose  
6       group. Again, those were non-randomized data, and  
7       we're in the process of evaluating in randomized  
8       cohorts the potential effective dose on both  
9       efficacy and safety.

10               DR. SMITH: Dr. Seibel?

11               DR. SEIBEL: On slide 9, you showed the  
12       swimmer's plot, and it showed that around 10 weeks  
13       is when you saw PRs. During that time, did some  
14       tumors grow? You also mentioned that two patients  
15       had improved responses after discontinuation. How  
16       long after discontinuation? So how long would you  
17       have to monitor these patients for responses to  
18       make sure they haven't had a response?

19               DR. IANNONE: Sure. With regard to the  
20       first part of your question, our first scheduled  
21       assessment wasn't until 12 weeks, so there's a bit  
22       of a bias in terms of might there have been a

1 response observed even earlier or might you have  
2 observed first an increase and then a tumor  
3 response. So that's still being characterized, and  
4 we have some opportunities in other trials to  
5 better understand that, certain tumors that are  
6 easier to visualize, for example.

7 Certainly, for melanoma patients who had  
8 skin lesions, we're seeing responses even earlier  
9 than 12 weeks. We have seen patients who initially  
10 show progression to then ultimately have a  
11 response. That's not necessarily common, but it  
12 has been observed. And we have observed that in  
13 order to accurately assess the response rate, it  
14 does take some time. So many patients will go  
15 from, say, a stable disease even at 12 weeks or  
16 beyond to showing a first objective response after  
17 that period of time.

18 DR. SEIBEL: And then how long for the two  
19 that discontinued?

20 DR. IANNONE: They're shown on the bottom,  
21 so you can see where the bar ends -- sorry, can't  
22 use a pointer, but you can see where the bar ends.

1 And then you can see in blue and red the  
2 documentation. So just a few weeks afterwards.

3 DR. SMITH: Ms. Goodman. We have several  
4 other questions lined up. If you would keep your  
5 mic on when you talk and off when you're not  
6 talking.

7 MS. GOODMAN: Thank you. A two-part  
8 question. First of all, what input have you had to  
9 date from European or American pediatric clinical  
10 oncologists in the design of this plan? And my  
11 second question is related to your parallel process  
12 with the EMA towards the PIP.

13 To the extent that this process results in  
14 different recommendations or requests with respect  
15 to trial design or to the extent that pediatric  
16 oncologists who in fact execute these trials  
17 request modifications, are you willing to undertake  
18 additional studies or additional work, or are you  
19 willing to request that these recommendations be  
20 implemented in your PIP through amendments or  
21 through your current negotiations?

22 DR. IANNONE: So to start with the second

1 question, we're very willing to consider input from  
2 multiple sources and to accommodate that in our  
3 pediatric development plans. I believe that the  
4 most optimal approach would be to have strong  
5 alignment between our commitments in Europe and in  
6 the U.S. And that will ultimately facilitate the  
7 fastest, most efficient development in pediatrics.  
8 So that's what we're striving for, and I think this  
9 morning is a great opportunity to achieve that.

10 With regard to the first question, we've had  
11 many, many conversations with key opinion leaders  
12 in Europe and the U.S. specifically around the  
13 content of the ongoing PIP proposal, but in  
14 addition just more broadly around how can we  
15 understand which pediatric indications are going to  
16 be most likely to respond. And that's a  
17 separate -- what I describe in this presentation as  
18 a workstream that's already ongoing.

19 DR. SEIBEL: If I could just do a follow-up  
20 just so I can clarify. But if there were new  
21 recommendations that came out of this process,  
22 would you be willing to go back to the EMA and

1 ensure that they are incorporated in your PIP or  
2 undertake them in some other capacity?

3 DR. IANNONE: Out of this process here  
4 today?

5 DR. SEIBEL: Yes.

6 DR. IANNONE: Yes. And we have that  
7 opportunity given where things stand.

8 DR. SMITH: Dr. Warren?

9 DR. WARREN: Just as a follow-up to the  
10 pseudoproggression question earlier, your adverse  
11 events listed are primarily generalized adverse  
12 events. Did you see any local reactions in the  
13 tumors at all? I mean, part of the concern here is  
14 for potential CNS indications, and we would see an  
15 increase in tumor size so to speak.

16 DR. IANNONE: So we're working very hard to  
17 get paired tumor biopsies pre- and post-treatment.  
18 And we have some clinical trials where it would be  
19 easier to do that so that we can specifically look  
20 at some of the factors ongoing in tumors. That's  
21 not always so easy. So for the most part, what we  
22 have to rely on is imaging of the tumors to look at

1 size, for example.

2 As I mentioned before, while I wouldn't call  
3 it common, there are some cases where an initial  
4 increase in tumor size probably represents an  
5 inflammatory response and not necessarily growth of  
6 that tumor. And over time, we then observe that  
7 that tumor has an objective response.

8 DR. WARREN: So just in follow-up, did you  
9 see any local erythema or pain around where the  
10 tumor was or a more focal response?

11 DR. IANNONE: In the case of melanoma,  
12 that's skin based. Many patients will have some  
13 skin-based disease as well as visceral disease.  
14 You can see the tumor changing and generally  
15 shrinking. You may see it become more red, for  
16 example, initially.

17 DR. SMITH: Dr. Sekeres?

18 DR. SEKERES: Sure. Thank you, Dr. Smith.

19 A couple of questions for you, and I'm not  
20 sure if the first one is more appropriate for you  
21 or for Dr. Sondel. I had always been under the  
22 impression that as kids develop from infancy, the



1 immune system matures. For example, you don't  
2 see -- well, you may see some, but you don't see as  
3 much seasonal allergies in infants because their  
4 IgEs haven't matured yet.

5 So how do we know that these approaches will  
6 work the same in a child who's 6 months, which is  
7 the lower age of what your enrollment is, and 18  
8 years?

9 DR. IANNONE: Sure. As we've been thinking  
10 about this question, we're really separating  
11 potential for efficacy from the potential for  
12 adverse events. In terms of the potential for  
13 efficacy, it's clear that kids have a robust enough  
14 immune system, even early on, to handle viral  
15 infections and to give robust responses to  
16 vaccines. So we think the important thing there is  
17 what's happening in the tumor, which is why we've  
18 emphasized so much the importance of screening  
19 tumors for evidence of preexisting immune response.  
20 And up-regulation of PD-L1 is evidence that the  
21 PD-1 pathway is abrogating that immune response.

22 I think where you observe that in pediatric

1 tumors, the chance of having a response with MK-  
2 3475 is high. That's a little separate than to  
3 say, our children who have different stages of,  
4 say, thymic function, would they be more or less  
5 susceptible potentially to adverse effects? That's  
6 unknown.

7 I think that as we begin to do studies with  
8 other related immune therapies, we'll learn whether  
9 the profiles look similar or different in adults,  
10 and that will really help us do the appropriate  
11 monitoring.

12 DR. SEKERES: So just thinking about  
13 response -- and thank you for dividing into adverse  
14 events versus response. I think that's a nice  
15 division. If we're just thinking about response, I  
16 wonder if Dr. Sondel would be able to comment on  
17 whether you think that a 6-month old would have the  
18 same immune response to allow efficacy as someone  
19 who's 18 years old?

20 DR. SONDEL: While an infant of 6 months  
21 might not have quite as developed an immune  
22 response as someone who is 18 years, an infant of

1       6 months has a very well developed immune system  
2       and has a repertoire of T cell receptor recognition  
3       that is huge. It's able to recognize the subtle  
4       differences in different vaccine subtypes and show  
5       with specificity, at both the T cell level and the  
6       antibody level, elegant specificity of the immune  
7       response.

8               So I would think by a few months of age, the  
9       immune system's capability to recognize subtle  
10      antigenic differences could be there. Although the  
11      number of T cells that would respond to it may be  
12      small, the whole purpose is to expand that  
13      subpopulation, and that's what this  
14      immunoregulatory approach is designed to do. I  
15      think it would be different if we were talking  
16      about a neonate.

17             DR. SEKERES: Okay. That's really helpful.  
18      Thank you.

19             The second part of my question is, you've  
20      described a pediatric melanoma population, patients  
21      who have tumor types prioritized based on PD-L1  
22      expression data. Do you have any -- we haven't

1       seen any presentation on the epidemiology of these  
2       diseases in kids. What kind of population are you  
3       looking at for melanoma and for non-melanomatous  
4       tumor types that express PD-L1?

5               DR. IANNONE: So the key strategy for this  
6       pediatric development plan is really not to focus  
7       on melanoma, but to focus more on identifying  
8       pediatric tumors that would benefit. And the  
9       reason is that we believe that the biology of  
10      melanoma in adults and mostly adolescents, right,  
11      because it's even rarer in young children, is very  
12      similar. Responses to conventional therapies are  
13      similar between adults and adolescents. And we  
14      have every reason to believe that those children,  
15      adolescents with melanoma, will respond as well.  
16      So it's much more heavily focused on other  
17      indications.

18             DR. SEKERES: So I'm sorry. I don't think  
19      that quite answered the question.

20             DR. IANNONE: So the second part of the  
21      question was, are there epidemiology to understand  
22      for other indications what the PD-L1 expression is

1       like. And in the literature, as far as I can tell,  
2       there's not very much. So we're in the process of  
3       undertaking staining banked tumor tissues ourselves  
4       and tying that to the clinical outcomes; for  
5       example, understanding the prevalence, the  
6       prognosis, et cetera, across pediatric indications.  
7       And there are many places where that can be done,  
8       and we're in the process of sorting that out.

9               DR. SEKERES: So we don't have a lot to hang  
10       our hat on here. So can you tell us there  
11       are -- what's the epidemiology of melanoma in kids?  
12       Are all of those melanomas PD-L1 susceptible? What  
13       other tumor types have you seen any PD-L1  
14       expression to justify the inclusion criteria?

15              DR. IANNONE: So we're very early in the  
16       process of this work, and so we really have no data  
17       to share on the epidemiology of PD-L1 expression  
18       across pediatric tumors. I don't think it will  
19       differ in melanoma, which is why I'm much more  
20       focused on other indications. So we don't know  
21       what yet to expect, but we think that's an  
22       important place to start.

1 DR. SMITH: Dr. Armstrong?

2 DR. ARMSTRONG: Thank you. A couple of  
3 questions. In your AES, was cognitive function,  
4 acute cognitive function, assessed at all in the  
5 AEs?

6 DR. IANNONE: Assessed through usual  
7 physical examination and patient interaction, but  
8 not specifically with cognitive testing.

9 DR. ARMSTRONG: The reason for asking the  
10 question is we know that cognitive late effects are  
11 a big issue for children. And those are not  
12 assessed in adults, and we're seeing executive  
13 function processes, speed, attention problems. I  
14 didn't know if those were assessed or not.

15 DR. IANNONE: Not in a formal way. And what  
16 I'd say is what we know from pediatric oncology  
17 experiences, that's very often tied to, say, brain  
18 radiation for leukemia, or high-dose methotrexate,  
19 or intrathecal methotrexate. There's nothing about  
20 this mechanism that would make me worry, but I  
21 think you make a good point, that developing  
22 children, we need to pay attention to that.

1 DR. ARMSTRONG: Well, inflammatory processes  
2 in sickle cell disease and HIV are actually being  
3 linked to cognitive function, so it's something to  
4 consider.

5 The second question I had is that Dr. Sondel  
6 pointed out a neuroblastoma and also in the  
7 leukemias the importance of tumor burden. And with  
8 the melanomas, was there an attempt to get to  
9 minimal residual disease, or at the point of using  
10 the drug, was this just the tumor as is with a  
11 biopsy?

12 DR. IANNONE: Our melanoma experience is a  
13 mixed of patients who were relapsed and refractory,  
14 so at later stage, but also even first-line  
15 therapy. I think the fundamental problem in  
16 melanoma, unlike many pediatric tumors, it's not  
17 very responsive to conventional therapies. So most  
18 patients had a considerable amount of disease.

19 Despite that, we're clearly seeing dramatic  
20 responses. And you could see from the swimmer's  
21 plot that in some cases, you see an initial partial  
22 response. And then after some period of time, a

1 complete response even with bulky disease.

2 DR. SMITH: Dr. Fingert?

3 DR. FINGERT: As I'm looking at the agenda,  
4 I see we have five complicated questions to go  
5 through, starting -- and we only have one hour to  
6 do it later on at 10:45. So I would like to get to  
7 what I think I'm projecting is an important  
8 question for the sponsor. As I look at their  
9 presentation, slide 16 and following, they've  
10 really gone to efforts to lay out for us the  
11 details of their immediate current plan, a phase 1  
12 plan, including their goal to escalate to an MTD.  
13 It's not just a bridging study to like the adult  
14 MTD that some people do with phase 1's.

15 The conclusion, he later spoke about how  
16 they have an interest in monitoring and protecting  
17 the children. So I'd really like to ask if we  
18 could discuss at some time -- and I don't see that  
19 there's any other time -- without naming a  
20 particular drug. Are there experiences about  
21 clinical activity of immunotherapies that we could  
22 bring to help comment on this plan.



1           I mean, I see that they really laid it out  
2   in some detail -- multiple slides about each  
3   stage -- and the elements of their protocol. And I  
4   think people at this table have more experience  
5   with different types of similar immunotherapies,  
6   again, without naming them, that might be relevant  
7   to -- especially with their goal of managing risks  
8   and enrolling children in this kind of a trial  
9   design.

10           DR. SMITH: Would you like to comment in  
11   terms of the rationale for your proposed designs?

12           DR. IANNONE: In terms of safety monitoring?  
13   Our rationale is to do everything that we know how  
14   to carefully monitor children in these studies.  
15   But I think a key element of this is that there  
16   will be emerging data from ongoing related  
17   immunotherapies where this will give us a lot of  
18   insight into whether we should expect something  
19   different in children or not. And if we observe  
20   that, that will help us. Otherwise, we have a lot  
21   to go on in the adult experience in terms of how to  
22   monitor, how to do a diagnostic evaluation to

1 really understand the nature of the toxicity, then  
2 how to withhold treatment, intervene with  
3 therapeutic intervention such as glucocorticoids,  
4 et cetera.

5 DR. FINGERT: To give an example that comes  
6 to my mind, are you planning -- or do other members  
7 of this group feel it's important to be more  
8 cautious than you would be with, grafting an adult  
9 trial into pediatrics, about things like screening  
10 for opportunistic infections and C. diff. in kids  
11 that roll in from -- these are kids that would have  
12 seen a lot of antibiotics from other institutions  
13 and rolling in.

14 With CTLA4 targeted therapies, I am aware  
15 there have been some very severe and life  
16 threatening and fatal colitis events that in  
17 retrospect were possibly associated with the fact  
18 that the kids also had -- or the adults also had  
19 C. diff. In the Crohn's population, I'm aware that  
20 safety's been a problem with other things. Like  
21 listeria has been fatal and CMV colitis. Things  
22 like that have been problems in developing those

1 drugs in the pediatric population.

2 So anticipating that, not necessarily  
3 excluding the kids, but doing the right kind of  
4 cultures may be of interest. But again, I'm not a  
5 pediatric oncologist, so I'm sort of putting that  
6 to the table so that we can get to advice as how  
7 they can succeed with their phase 1 program.

8 DR. SMITH: Okay. And we can come back to  
9 that in the discussion period.

10 Dr. Reaman? I had two questions, but first  
11 wanted to respond Ms. Goodman's question about the  
12 progress with the pediatric investigation plan at  
13 the EMA and its similarity, if you will, or  
14 concordance with what we might do here as far as a  
15 written request. And we do have a process through  
16 the Office of Pediatric Therapeutics, where we  
17 actually provide common commentary, if you will, on  
18 sponsors' plans.

19 Although the PIP and the written request may  
20 not be identical, they're not opposed to each  
21 other. And we're not asking the sponsor to do one  
22 thing in one development plan and not in another.

1       So they may be somewhat parallel, generally  
2       complementary. We have experience now doing this  
3       with a number of agents, and these are agents that  
4       both the agency and the EMA want to make sure that  
5       there is global agreement in our approach,  
6       recognizing that it has to be an international  
7       development program and there are limited numbers  
8       of patients. And the only way that this is going  
9       to work is if we work together.

10               But Rob, I wanted to just get a little bit  
11       more clarification on the recommended phase 2  
12       dose-finding strategy utilizing the exposure data  
13       in adults. But it looks like you're using three  
14       different dose and schedule strategies in adults.  
15       So do you plan to select one of those, all three of  
16       those, and carry them into children, or what is the  
17       plan?

18               DR. IANNONE: So we have ongoing randomized  
19       evaluations of dose and schedule that will clearly  
20       inform our starting dose strategy. And once we  
21       know that, it will give us a couple of options.  
22       So if we're at a higher dose for example, in the

1 adults, then we might start at 50 percent of the  
2 maximum exposure, which would clearly put us in an  
3 active range based on the adult data we have even  
4 now. And then we would have the opportunity to  
5 escalate from there, which is why we say we may  
6 need two or three doses.

7 If it turns out we're at a lower dose in  
8 adults based on those randomized evaluations, then  
9 we certainly could start with a dose that targets  
10 that specific exposure in adults and still be at an  
11 exposure that is several-fold lower than the  
12 exposures that we have at the max administered dose  
13 in adults. So we want to build in some flexibility  
14 there in terms of how to initiate the dosing.

15 DR. FINGERT: Thanks. And then the other  
16 question, is there any correlation or has there  
17 been any correlation with the development of  
18 adverse events and exposure, duration of exposure  
19 to MK-3475? Or did some of these occur early or is  
20 there no relationship at all?

21 DR. IANNONE: So maybe you could take that  
22 two ways. One is overall exposure and then

1 duration of effect. In terms of the overall  
2 exposure, the data that we published show that both  
3 efficacy and adverse events are higher in the  
4 highest exposure group. But again, those are  
5 non-randomized data, and we're weighting a  
6 randomized comparison.

7 What we observed across all dose groups is  
8 that AEs do accumulate somewhat gradually. It  
9 eventually plateaus. For example, if you were to  
10 look at just the first month, you certainly  
11 wouldn't capture a majority of them.

12 DR. SMITH: Okay. Dr. Goldman, and then Dr.  
13 Seibel. And I have a couple of questions, and then  
14 we need to finish this session and head to the  
15 break.

16 DR. GOLDMAN: In your phase 2 design, you  
17 note that the tumor types will be prioritized based  
18 on the PD-L1 expression from banked tumors. But I  
19 heard you earlier say you have no data on any of  
20 these pediatric tumors at this time?

21 DR. IANNONE: Not at this time. We're  
22 obviously very early in our planning, and we're

1       actively seeking sources not only of banked tumor  
2       tissue to stain with our in vitro diagnostic for  
3       PD-L1 expression, but also to look into genomic  
4       databases to get some sense of how pediatric  
5       indications might rank order for things like PD-L1  
6       expression. We think that we'll have those data  
7       certainly in time for the initiation of phase 2,  
8       and we think that will be important to consider.

9               DR. SEIBEL: Could you comment on patients  
10       who had CNS lesions with melanoma and if they  
11       responded?

12              DR. IANNONE: As part of the eligibility  
13       criteria for most of the studies, patients who had  
14       CNS disease are eligible only if they were  
15       adequately treated. We did have a few cases of  
16       even in that setting seeing tumor regressions. And  
17       Dr. Rubin has some insight also into those cases.

18              DR. RUBIN: I'm a medical oncologist, and  
19       I'm involved in the development. And I just wanted  
20       to make sure that you had information from me as  
21       well. So that's correct. Patients had to have  
22       stable, previously-treated brain lesions to be

1 eligible. And those who were eligible, we did see  
2 responses in those patients. I don't think we can  
3 specifically say what happened with the brain  
4 lesions, however. We don't have that data at this  
5 time.

6 DR. SMITH: A couple of questions. Relating  
7 to the PD-L1 expression, could you comment on how  
8 you set your cut points for the level of expression  
9 and frequency of expression; how uniform expression  
10 is across the tumor? So if you just have a small  
11 piece of tumor, how representative that would  
12 necessarily be of a larger tumor or metastatic  
13 disease, whether there's stability and uniformity  
14 of expression. And then, if your PD-L1 expression  
15 levels are low, how that effects your development  
16 plans in pediatrics.

17 DR. IANNONE: So it's clearly a work in  
18 progress. And some of the things that you  
19 mentioned around the potential for sampling error  
20 or the patterns of expression that might differ  
21 across indications are clearly going to be  
22 important factors. Despite some of that



1 complexity, as was shown in the introductory -  
2 presentation, there clearly seems to be a  
3 relationship. I showed you some of our own data.  
4 So we think, given what we know about the biology  
5 and what we're observing, even with the methods  
6 that we have in hand, that it's important.

7 Then I would just point out that for the  
8 purpose of identifying indications, those  
9 considerations are a little different than they  
10 would be for the purpose of enriching a patient in  
11 the clinical trial. So what I'm describing around  
12 looking at banked tumor tissue and genomic  
13 databases is really to try to do a rank ordering to  
14 understand which tumor sort of fall in that group  
15 that are above average for a PD-1 pathway elevation  
16 versus those that are at the bottom of the list.

17 That's an initial cut. Again, that's not  
18 going to give us the final answer, which is why our  
19 phase 2 design is intended to be flexible and  
20 adaptive to the data that we observe.

21 DR. SMITH: But your phase 2 design is built  
22 on PD-L1 expression, and that being basically a

1 criteria for entry?

2 DR. IANNONE: So in the adapted design, we  
3 think that by enriching for PD-L1 we can increase  
4 the probability of identifying a clinical signal,  
5 based on the data that we would observe. In that  
6 setting where we might have limited data for those  
7 specific pediatric indications, we would probably  
8 take a very simple approach, such as excluding only  
9 those patients in whom you have really no evidence  
10 of PD-1 pathway upregulation, no evidence of  
11 PD-staining on any biopsy that they have, whether  
12 that be archived or new.

13 Dr. Rubin may have more to add to that.

14 DR. RUBIN: I would agree. I just would  
15 note that we have looked at different histologies,  
16 including melanoma in lung, and we do think the  
17 assay, looking for expression of PD-L1, we'll be  
18 capable of doing that across multiple different  
19 histologies using our antibody.

20 DR. SMITH: Given that heterogeneity and  
21 expression could be significant, and you'll get  
22 one, perhaps a small biopsy, what's the level of

1 activity, based on a small biopsy, when the biopsy  
2 is negative and treatment proceeds?

3 DR. IANNONE: The empiric data that are  
4 emerging count for those kinds of challenges in  
5 doing this. And so despite those challenges, we  
6 still think the data are important and showing a  
7 relationship between what you can find in that  
8 setting and clinical outcomes.

9 Again though, the approach would be  
10 that -- and this was true of the published vapor  
11 out of Hopkins where if a patient had five biopsies  
12 and only one was positive, then they were counted  
13 as positive, for example. If a patient has all  
14 their archive specimens that are available  
15 negative, and they have a new biopsy that shows  
16 that it's positive, you might consider that  
17 positive. And when you calibrate it that way, it  
18 emphasizes the negative predictive value instead of  
19 the positive predictive value.

20 DR. RUBIN: Malcolm, I might add to that I  
21 think it's important also to separate the  
22 development plan from individual patient decisions.

1       So we're focusing on -- we think it will expedite  
2       finding the most active places for use in  
3       pediatrics. But that doesn't mean that if we get a  
4       positive result, we wouldn't go back and study  
5       efficacy in a PD-L1 negative population.

6               DR. SMITH: And final question, could you  
7       comment on the up-regulation operating  
8       characteristics of your phase 2 design that has  
9       basically a first stage of 5 patients and  
10      presumably stop if no responses are observed?

11             DR. IANNONE: The sample size that I put on  
12      the slide is really an example being in the range  
13      of 5. And the idea is that even with 5, especially  
14      in the setting of enriching for PD-L1, gives us  
15      reasonable power to detect a signal. And I would  
16      point out that while we would define this with  
17      conventional criteria such as RECIST objective  
18      responses, we'd want to look at other factors as  
19      well, such as maybe prolonged stable disease and  
20      tumor change, tumor shrinkage, on a continuous  
21      scale to be sure we're not missing a signal in  
22      what's a relatively small sample set.

1           Once we expand to 20 or 25, if we're really  
2   in a refractory population, it's pretty good power  
3   to distinguish from a fairly low response rate of 5  
4   or 10 percent.

5           DR. SMITH: We have Dr. Reaman.

6           DR. REAMAN: I commend the plan to look at  
7   possibly enriching the population, but I just want  
8   to make sure that the biopsies, the retroactive  
9   biopsies, are diagnostic biopsies, not biopsies  
10   that are obtained immediately before going on any  
11   sort of investigational therapy, number one.

12           Number two, if you find that there is  
13   variable expression across a number of different  
14   diseases, would your development plan change  
15   somewhat so that rather than looking at a specific  
16   histologic tumor indication in the pediatric  
17   setting, you would just look at those tumors  
18   irrespective of histology, where there's evidence  
19   of PD-1/PD-L1 access activation?

20           DR. IANNONE: So starting with the second  
21   question, most definitely we would adapt to data.  
22   Our whole objective is to be flexible enough to

1 adapt the data, preclinically as well from the  
2 ongoing studies.

3           So for example, if we find that  
4 neuroblastoma, based on genomic analysis or banked  
5 tumor tissue, is high in PD-L1 expression, then we  
6 could go right to a larger sample size in that  
7 phase 2 that might be 20 or 25 patients. But we  
8 wouldn't want to just do that. Just because we  
9 didn't see anything in Wilms, we wouldn't want to  
10 exclude those patients.

11           So Wilms might be good for the adaptive  
12 part, where we look at a few. And if we see  
13 something, especially in a patient who's particular  
14 tumor is up-regulating PD-L1, that might tell us  
15 that, well, PD-L1 regulation is not necessarily  
16 common in Wilms, but when it occurs, patients  
17 respond. And that would be useful information, and  
18 then we could expand from there.

19           Then in terms of your first question, if a  
20 patient has an archival specimen that shows  
21 up-regulation in PD-L1, I don't think the biology  
22 suggests that any intervening treatment would

1 really cause that to not be the case. If they have  
2 an endogenous antitumor immune response, there  
3 would be no reason to insist on another baseline  
4 biopsy.

5 If the reverse is true, if they have in all  
6 their archived specimens no evidence of PD-L1, it  
7 is quite possible that an intervening therapy had  
8 triggered an immune response. And that immune  
9 response was in fact abrogated by the up-regulation  
10 of PD-L1. So a patient could opt to have a biopsy.  
11 And if that were positive, I would say that that's  
12 justification for enrollment.

13 DR. REAMAN: I guess I'm just asking because  
14 of what we heard earlier about the lack of -- or  
15 the relative lack of the mutations, resulting in  
16 immunogenic antigens in pediatric tumors. Many of  
17 these are going to be diagnosed relatively early in  
18 a patient's course, and that might be the archival  
19 specimen that said develop. So does an anti-PD-L1  
20 response or a PDL-response develop later, and is  
21 that something that could possibly be missed in the  
22 diagnostic specimens.

1 DR. IANNONE: Right. I also would like to  
2 comment on the issue of frequency of mutation.  
3 It's I think a very good hypothesis that mutation  
4 frequency could be increasing the odds that you'll  
5 have a cancer new antigen that the immune system is  
6 responding to. In fact, we have a trial open at  
7 Hopkins, where we're looking at patients who have  
8 micro satellite instability, and therefore a high  
9 frequency of mutations. So I think it's a very  
10 important hypothesis.

11 On the other hand, it's also possible that  
12 the nature of the mutation and the type of tumor  
13 antigen is important, even if there aren't many  
14 mutations in a particular patient. And I think of  
15 the CML example that was highlighted earlier, where  
16 CML is exquisitely responsive to allotransplant.  
17 And it probably has to do with the nature of the  
18 antigens that are derived from that particular  
19 translocation.

20 DR. SMITH: Okay. Thank you. We need to  
21 proceed to the break now. We'll shorten the break,  
22 take a 10-minute break. Committee members, please



1 remember that there should be no discussion of the  
2 meeting topic during the break amongst yourselves  
3 or with any members of the audience. And we'll  
4 resume at 10:05. Thank you.

5 (Whereupon, a recess was taken.)

6 DR. SMITH: We will now proceed with the  
7 industry presentation from Bristol-Myers Squibb.  
8 And again we have a statement. And the music  
9 stopped, so that's good.

10 Both the Food and Drug Administration and  
11 the public believe in a transparent process for  
12 information-gathering and decision-making. To  
13 ensure such transparency at the advisory committee  
14 meeting, FDA believes that it is important to  
15 understand the context of an individual's  
16 presentation.

17 For this reason, FDA encourages all  
18 participants, including the sponsor's non-employee  
19 presenters, to advise the committee of any  
20 financial relationships that they may have with the  
21 firm at issue, such as consulting fees, travel  
22 expenses, honoraria, and interests in the sponsor,

1 including equity interests and those based upon the  
2 outcome of the meeting.

3 Likewise, FDA encourages you at the  
4 beginning of your presentation to advise the  
5 committee if you do not have any such financial  
6 relationships. If you do not choose to address the  
7 issue of financial relationships at the beginning  
8 of your presentation, it will not preclude you from  
9 speaking. And we'll proceed now with the BMS  
10 presentation.

11 **Industry Presentation - Mark Moyer**

12 MR. MOYER: Good morning. My name is Mark  
13 Moyer. I'm a global head of regulatory sciences  
14 for Bristol-Myers Squibb. And on behalf of  
15 Bristol-Myers Squibb, I'd like to thank the  
16 committee for providing us feedback on our proposal  
17 today and also for the Food and Drug Administration  
18 for inviting us today to make this presentation on  
19 our proposal for what we hope will be an efficient  
20 and effective pediatric development plan, in  
21 initiating that.

22 After my brief introductions, I'll have

1 Dr. Renzo Canetta, who's our global head of  
2 clinical research for oncology for Bristol-Myers  
3 Squibb, present our proposals, along with the data  
4 that supports nivolumab pediatric development and  
5 plan as we're proposing it.

6 Our goal is to develop a global pediatric  
7 program which efficiently and also safely evaluated  
8 nivolumab in tumors that are relevant in the  
9 proposed population, not just those that we're  
10 studying in adult, but those that have unmet  
11 medical need in the pediatric patients. We've had  
12 multiple collaborations that have led to an  
13 innovative proposal. This included in March  
14 submission of a pediatric investigational plan in  
15 Europe's submission as well as a pediatric study  
16 plan that was submitted to the FDA at the same time  
17 that were a duplicate of each other.

18 We had a meeting in July of this year with  
19 both FDA and the National Cancer Institute in order  
20 to bring together a collective wisdom regarding our  
21 proposal, which has led to today's presentation as  
22 to the proposal we're making. We had a

1       teleconference with the Pediatric Development  
2       Committee of EMEA in September, and we've had  
3       multiple collaborations and consultations with U.S.  
4       and European pediatric experts.

5               As a snapshot on this slide, to the left  
6       there are three elements of innovation that we're  
7       proposing. The first, as we would like to  
8       initiate, our phase 1 portion at the adult dose.  
9       There will be two cohorts of 2 to 11 years old and  
10      also 2 to 18 years old. And we would enable dose  
11      de-escalation as needed.

12             That would move to expansion cohorts in  
13      which we are proposing to include young adults. We  
14      would look at four tumor types specifically that  
15      have been proposed by our experts, both in the U.S.  
16      and Europe, and we would look at additional tumors  
17      based on emerging data from tumor banks as far as  
18      biomarker, as well as adult data that would  
19      indicate we should be moving forward in other  
20      tumors.

21             In parallel to that, we would like to move  
22      then into our first combination therapy, which is

1 with nivolumab and ipilimumab in combination, and  
2 we'd be looking at that, gain, into two cohorts in  
3 order to evaluate the safety of the combination.  
4 But we'd be looking at that in a dose escalation  
5 format, starting at 1 milligram per kg of nivolumab  
6 and ipilimumab, and then moving to 1 and 3 of the  
7 two compounds, as we had this on a Q3 week basis.

8 Right now I'd like to present Dr. Renzo  
9 Canetta who will go through the details of the  
10 proposal as well as the data that supports that.

11 **Industry Presentation - Renzo Canetta**

12 DR. CANETTA: Thank you, Mark.

13 The compound we are presenting to you today  
14 is a checkpoint inhibitor as for other agents in  
15 the class. That's not a target directly to tumor  
16 cells, but it targets the immune system to the PD-1  
17 receptor located on the T lymphocytes. This  
18 targeting blocks the interaction of the T cell with  
19 two different ligands, PD-L1 and PD-L2. This  
20 results in an activation of the immune system to  
21 recognize and attack the tumor. Mind you, this  
22 compound is fully human IgG4 monoclonal antibody.

1           In the current experience of nivolumab in  
2   adult patients, there has been no clear-cut  
3   evidence of a dose response relationship in terms  
4   of safety, and this was up to the dosage of  
5   10 milligram per kilogram and across multiple  
6   different tumor types. The regimen of 3 milligram  
7   per kilogram every 2 weeks was chosen for the  
8   ongoing large phase 3 program in multiple tumor  
9   types going on in adults. And this actually  
10   constitutes the largest experience that we have  
11   accumulated with this agent in adults.

12           The exposure in pediatric patients is  
13   expected to be similar to that of adults receiving  
14   the same dosage on a milligram per kilogram basis.  
15   The clearance of nivolumab decreases with the  
16   decrease of body weight.

17           We have consulted with pediatric study  
18   investigators, and they supported the initiation of  
19   the pediatric program at the dosaging schedules  
20   chosen. We've also been consulted [indiscernible]  
21   by the experience with ipilimumab in pediatric  
22   patients, and this data supports a similar safety

1 profile for this type of checkpoint inhibition as  
2 in the case of adult patients.

3 We have conducted a fairly large phase 1  
4 trial with nivolumab in more than 250 adult  
5 patients, and this slide represents selected  
6 adverse events. There are some key observations  
7 that could be made.

8 First of all, over the range of dosage  
9 started, there was no consistent to dose effect in  
10 terms of safety, as you can see across the board  
11 and for the totality of the data. Second, the  
12 incidence of a severe grade 3 and 4 adverse event  
13 was fairly low for this type of pathology, for this  
14 type of population.

15 Third, the type of adverse events that we  
16 have observed were consistent with what has been  
17 seen with other checkpoint inhibitors with the  
18 exception of pneumonitis. Early in the course of  
19 the development program with this agent, there were  
20 actually three deaths related to pneumonitis that  
21 occurred in this trial.

22 Of note, the safety profile that is depicted

1 here covers a fairly long period of observation, as  
2 patients were kept on treatment up to progression  
3 or for a minimum of 2 years of treatment.

4 We can say that the same observation for  
5 lack of a dose effect can be applied to the  
6 efficacy of nivolumab. Here you see the slide  
7 representing response data as assessed by standard  
8 RECIST criteria. In addition, there was a small  
9 number of patients that presented delayed responses  
10 according to what was observed in other checkpoint  
11 inhibitor trials. But these patients were not  
12 contained in the numerator of this slide.

13 Considering this is a very heavily  
14 pretreated group of patients, 47 percent of these  
15 patients had received 3 or more prior regimens for  
16 the treatment of their metastatic tumors. We  
17 believe that these are relevant results in their  
18 expressing tumor shrinkage, and the absurd activity  
19 was seen in different tumor types, including tumor  
20 types that historically or traditionally have not  
21 been considered to be immunogenic. This  
22 observation may be important also for the pediatric



1 pathology.

2           These objective responses, as you have seen  
3 earlier, have the tendency to be very durable and  
4 have the tendency to result in very long median  
5 survival.

6           The second aspect of our proposal consists  
7 of the introduction of expansion cohorts. After  
8 consultation with investigators, we will initiate  
9 the evaluation in these selected cohorts of  
10 pediatric tumors that present a certain unmet  
11 medical need. There is the possibility to add  
12 additional cohorts, and this is going to be based  
13 upon signals that we can detect from the clinical  
14 program that I will allude to later, from the adult  
15 program, and also from what we observed in the  
16 early phases of the study.

17           Another aspect that we want to introduce in  
18 this program is to allow the inclusion of young  
19 adults to the expansion cohorts. This is a raising  
20 issue in today's cancer reality in this country and  
21 elsewhere. A factor that could influence the  
22 selection of these additional cohorts and

1 prioritize them is the presence of the PD-L1  
2 receptor ligand on pediatric tumors. I think it's  
3 fair here to provide at least three caveats.

4 Caveat number 1, the results at the present  
5 in the literature have been obtained with different  
6 assays using different monoclonal antibodies and  
7 testing actually different tumors, primary or  
8 biopsies from metastasis. Second and even more  
9 importantly, the cutoff that has been utilized to  
10 determine positivity of these assays are different  
11 across different laboratories. And third and  
12 perhaps even more important, we have seen  
13 meaningful objective responses in patients whose  
14 tumors were PD-L1 negative. So that's something  
15 that needs to be kept in mind.

16 We have developed together with Dako a  
17 standardized assay that we plan to utilize in  
18 evaluating from tumor banked pediatric tumors, and  
19 we are planning to utilize this both with U.S. and  
20 European investigators. This is the same assay  
21 that we will apply, and it is part of all of our  
22 phase 3 programs for monotherapy of nivolumab and

1       also for the combination of nivolumab and  
2       ipilimumab. And this is part of our prospective  
3       retrospective analysis plan for these phase 3  
4       trials.

5               The third aspect of our proposal involves  
6       the possibility to start the study in the  
7       combination of immunotherapies and checkpoint  
8       inhibitors. Indeed as you have seen early this  
9       morning, in the lymph node and in lymphopoiesis  
10      organs, the T cell priming occurs to interact with  
11      mature antigen presenting cells that express MHC  
12      and other costimulatory ligands, including B7.

13             The inhibition of the CTLA4 by ipilimumab  
14      enhances T cell activation and proliferation of  
15      tumor-specific T cells that traffic then to the  
16      tumor site. In the tumor microenvironment on the  
17      right part of this slide, peripheral tolerance of  
18      tumor-specific T cell is induced and maintained by  
19      the part for your PD-1 and PDL ligands preventing  
20      tumor-specific T cell from reacting against the  
21      tumor cell. And blocking this pathway with an  
22      anti-PD-1 antibody restores the T cell function,

1 allowing for T cell mediated tumor elimination.

2           Ipilimumab actually today represents the  
3 current totality of the existing experience with  
4 checkpoint inhibitors in pediatric patients. The  
5 pediatric branch of the National Cancer Institute  
6 is conducting a phase 1 trial of this agent in this  
7 population. And these are the data that are  
8 preliminary and have been so far made public. As  
9 you can see at the time of the publication,  
10 26 patients were accrued, age 2 to 21 years old,  
11 and the majority with a diagnosis of melanoma or  
12 various types of sarcoma.

13           In this relatively still small number of  
14 patients, at low dosages of ipilimumab of 1 or  
15 3 milligram per kilogram, the incidence and the  
16 severity of immune related adverse events appear to  
17 be low. However, with increasing dosages, 5 and  
18 10 milligram per kilogram, there was an increase in  
19 incidence and increase of severity of these adverse  
20 events. And the nature of these adverse events did  
21 not really seem to differ from our experience in  
22 adult patients.

1           In this trial at a time of public report,  
2           there were 7 patients that had the stable disease  
3           for a duration of 4 months or more, including a  
4           single child with melanoma that received 14 courses  
5           of ipilimumab in excess of a treatment of more than  
6           one year and is still continuing on treatment after  
7           14 months.

8           The combination of ipilimumab and nivolumab  
9           is supported by preclinical models. Even more  
10          importantly, the initial clinical results obtained  
11          in adult patients with melanoma has contributed to  
12          generate remarkable interest. And as you can see,  
13          these are the data, shown even earlier today,  
14          presented by Dr. Wolchok at ASCO this year.

15          This particular panel refers to the dosage  
16          and schedule, 1 milligram per kilogram of nivolumab  
17          and 3 milligram per kilogram of ipilimumab, that  
18          has been brought further into the phase 3 clinical  
19          program that we're running right now. This  
20          combination is given every 3 weeks for 4 dosages of  
21          concomitant ipilimumab and nivolumab, and then  
22          there is maintenance that continues with nivolumab

1 alone every 2 weeks at 3 milligram.

2 Now, as presented by Wolchok and  
3 collaborators, these results are quite promising.  
4 Mind you, from the earlier presentation of this  
5 morning, these results have been obtained  
6 irrespective of PD-L1 expression, so similar  
7 efficacy has been seen in both cohorts of patients.  
8 And of note, these responses have also been very  
9 durable and resulting in 80 percent one year  
10 survival, as presented by Sondel.

11 Now, this slide is not a comprehensive list  
12 of adverse events, but it focuses on those events  
13 that are most relevant for today's discussion. And  
14 here you have depicted the monotherapy nivolumab  
15 experience on the right, the ipilimumab monotherapy  
16 experience as in the package insert of the drug,  
17 and the early experience with the combination.  
18 Obviously, there are limitations in comparing  
19 across trials and across the series. And also  
20 there is the limitation that the combination series  
21 is still quite limited, with only 53 patients.

22 However, as you can see, the combination

1 seems to provide for an increase of toxicity as  
2 compared to the two monotherapies. Note however  
3 that the no safety events have been identified that  
4 are different from what has been reported for the  
5 various experiences in monotherapy with a potential  
6 exception of mostly asymptomatic increases in  
7 lipase and amylase.

8 Thus, back to our proposed initial pediatric  
9 study design, we feel confident that we can start  
10 with nivolumab monotherapy at the dosage and  
11 schedule that currently has been utilized in  
12 adults. We feel confident that because of that, we  
13 can move rapidly to the expansion cohorts in  
14 patients that have tumor types that are relevant to  
15 the pediatric pathology; whereas, we're not  
16 necessarily interested in tumor types that may  
17 exist only in adults, those resulting in regulatory  
18 waivers, and waivers, and waivers. We have  
19 interest in including young adults with a relevant  
20 pathology and relevant diagnosis.

21 Accordingly, we are also very interested in  
22 expanding our knowledge on checkpoint inhibitors by

1 studying their combination also in pediatric  
2 pathology. The portion of the study on the right  
3 part of the slide will adopt a more traditional  
4 dose escalation approach given the limitation of  
5 the existing adult experience. So we will start at  
6 1 milligram per kilogram of each component and then  
7 escalate to 1 milligram of nivolumab and  
8 3 milligrams of ipilimumab, which is the current  
9 utilized dosage and regimen every 3 weeks for the  
10 adults. Here again, we plan to study separately  
11 the two cohorts of patients according to age.

12 Now there are additional components for our  
13 pediatric development plan; first of all, the known  
14 clinical biomarker study by which we have  
15 interrogated tumor banked samples utilizing our  
16 standardized assay for PD-L1 expression. As part  
17 of the pediatric investigational plan in  
18 consultation with the European health authorities,  
19 we are planning a modeling and simulation study.  
20 And finally, of course, we will move to  
21 confirmatory efficacy study for the appropriate  
22 signal defined in the expansion of the cohorts.



1           In summary, I think that we have the goal to  
2       efficiently develop a global pediatric program for  
3       nivolumab in tumors that are relevant to pediatric  
4       patients. We believe that we're introducing  
5       innovative approaches, and these are needed to  
6       accelerate pediatric development. We are strongly  
7       convinced that the immune oncology agents provide  
8       today fairly unique opportunities for collaborative  
9       pediatric development plans, both with health  
10      authorities and investigators alike globally.  
11      Thank you for your attention.

12                   **Clarifying Questions from Subcommittee**

13           DR. SMITH: We're open now for -- do you  
14      have final comments?

15           MR. MOYER: No. We're open for questions.

16           DR. SMITH: Okay. We're open for questions  
17      then from the committee.

18           UNIDENTIFIED FEMALE: I'm wondering if you  
19      could provide a little bit more information on how  
20      the diagnosis for the expansion cohorts were  
21      selected.

22           MR. MOYER: These were based on

1 consultations, both in the U.S. and Europe, as to  
2 what the investigators believed were relevant  
3 tumors that had high, unmet medical needs, but was  
4 not based on any tumor marker information.

5 DR. SMITH: Could you comment on the data  
6 that would support the ipilimumab plus nivolumab  
7 combination overcoming the negative prognostic  
8 significance of absent PD-L1 expression?

9 MR. MOYER: Certainly. I'd ask -- Renzo  
10 Canetta, could you discuss that? I think it was  
11 presented also in Dr. Sondel's presentation a  
12 little bit.

13 DR. CANETTA: Again, I believe that when it  
14 comes to the biomarker, the caveats that I have  
15 alluded to apply both to the monotherapy and to the  
16 combination. Certainly, the data that Dr. Sondel  
17 has shared with us today and to be presented by  
18 Dr. Wolchok indicate that maybe there is the  
19 possibility to overcome the type of negativity by  
20 the combination of the two agents.

21 I think that we shouldn't forget also what  
22 Dr. Sondel alluded to earlier and that we also

1 alluded to in our presentation, that there is a  
2 function of priming by cytokines that can be  
3 factored in. And here again, think about the fact  
4 that we are dealing with sometimes archived  
5 material coming from initial diagnostic biopsies or  
6 surgical specimen. And then we're dealing with  
7 patients that with time might have developed  
8 metastases or different location of tumor.

9           Again, there is a factor of heterogeneity  
10 that is important; the fact that we are planning in  
11 our adult program to interrogate the heterogeneity  
12 by taking different biopsies from the same tumor  
13 and asking whether the expression is equal in all  
14 the biopsies.

15           DR. SMITH: Dr. Reaman?

16           DR. REAMAN: A couple of questions. Could  
17 you just elaborate a little bit more on the  
18 pneumonitis? Is it a clinical diagnosis of  
19 pneumonitis? Do you have histopathologic --

20           MR. MOYER: I'd ask Dr. Dana Walker from our  
21 pharmacovigilance group to comment on the safety  
22 and the pneumonitis that was observed.

1 Dr. Walker?

2 DR. WALKER: Dana Walker, global  
3 pharmacovigilance. In reference to your question,  
4 the pneumonitis diagnoses are both clinical and  
5 histopathological in some cases. There are  
6 clinical symptoms of dyspnea and hypoxia, in some  
7 cases, that correlate with radiologic changes on  
8 X-ray and/or CT scans. Additionally, we've had  
9 bronchoscopies and lung biopsies performed on  
10 several of the patients that have shown  
11 inflammatory changes in lymphocyte infiltration.

12 DR. REAMAN: And I think it was like how  
13 many patients that actually had the pneumonitis? I  
14 mean, I guess I'm concerned about the lymphoid  
15 infiltration. Was that consistent in all of the  
16 patients that had bronchoscopies or biopsies, or in  
17 some patients? And can you talk a little bit about  
18 whether the lymphoid infiltrate was further  
19 characterized subset analysis?

20 DR. WALKER: Sure. Inflammatory changes  
21 were fairly consistent in the biopsies. I can't  
22 speak necessarily to the lymphocyte subset analysis

1 on the biopsies.

2 DR. REAMAN: Just another question. The  
3 plan for the combination study, or what you're  
4 doing now in adults with the combination of the two  
5 agents, and just nivolumab as maintenance, can you  
6 just explain the rationale for the selection of  
7 nivolumab for longer duration of therapy rather  
8 than ipilimumab rather than continuing the  
9 combination if you see increased responses with the  
10 two agents together?

11 MR. MOYER: I'll ask Dr. Fouad Namouni,  
12 who's the head of our global development for  
13 nivolumab to address that specific question, being  
14 part of this whole program. It's his design.

15 MR. NAMOUNI: For pneumonia global  
16 development, Bristol-Myers Squibb. In our initial  
17 observation of the combination of the two agents in  
18 melanoma, most of the activity, as you have seen on  
19 that spirogram presented, happened within the first  
20 12 weeks or even earlier. And ipilimumab is  
21 administered every 3 weeks; for 4 doses  
22 [indiscernible] every 12 weeks. We did not clearly

1       see an additional role that ipilimumab can play in  
2       the maintenance phase.  However, monotherapy,  
3       nivolumab can continue that activity over time.

4               DR. SMITH:  Dr. Warren?

5               DR. WARREN:  So a basic question I think is,  
6       do we know the effects of steroids on the mechanism  
7       of action?  The patients who are on steroids prior  
8       to enrolling, do they have any effect whatsoever,  
9       and can that be investigated prior to the study --

10              MR. MOYER:  Your question is patients that  
11       have steroids prior to the initiation of therapy,  
12       and then also those that started --

13              DR. WARREN:  Right.

14              MR. MOYER:  So it's two parts?

15              DR. WARREN:  So steroids are given to negate  
16       the adverse events.  But a patient who's already on  
17       steroids, does it make any sense to put them on  
18       these agents or are they completely negating the  
19       effect?

20              MR. MOYER:  I'd ask Dr. Feltquate to address  
21       that question as to the clinical experience.  He's  
22       our clinical monitor responsible for the adult

1 program.

2 DR. FELTQUATE: David Feltquate, global  
3 clinical research. As I understand it, there are  
4 really probably two quick questions that you're  
5 asking there. One of them is, do corticosteroids  
6 prior to initiating treatment have an impact on  
7 clinical outcome? And I wasn't sure. Was there a  
8 second question about patients receiving  
9 corticosteroids in the course of treatment and  
10 whether that will have an impact?

11 DR. WARREN: If patients are on steroids,  
12 can they have an effect?

13 DR. FELTQUATE: For nivolumab trials, we've  
14 been excluding patients that are on high doses of  
15 corticosteroids, so we don't have direct  
16 information of that for -- another checkpoint in  
17 ipilimumab, there have been trials on patients with  
18 brain tumors, and they were separate cohorts. One  
19 of the cohorts contained patients who were received  
20 corticosteroids. And although the activity,  
21 compared to the cohort that was not receiving  
22 corticosteroids, was less, there was still evidence

1 of clinical activity in those patients.

2 DR. SMITH: Dr. Seibel?

3 DR. SEIBEL: Could you provide more details  
4 about the infusion related reaction and  
5 hypersensitivities reactions, particularly timing  
6 and if patients were rechallenged?

7 MR. MOYER: Certainly. Dr. Walker, could  
8 you address the safety regarding the infusion  
9 reactions?

10 DR. WALKER: The majority of infusion  
11 related reactions and hypersensitivity reactions  
12 were grade 1/2 reactions that mostly presented as  
13 blood pressure changes. Most of them came after 2  
14 to 3 doses of medication. And most of the patients  
15 have been rechallenged successfully, occasionally  
16 requiring Benadryl and Tylenol pretreatment.

17 DR. SMITH: Dr. Casak?

18 DR. CASAK: So you stated that the  
19 occurrence of nivolumab decreasing body weight.  
20 However, the proposed dose for the pediatric trial  
21 will use the same dose as currently in adults,  
22 therefore exposing patients to



1 higher -- sorry -- so patients would have higher  
2 exposures. Could you please comment on that?

3 MR. MOYER: Yes. I'd ask Dr. Amit Roy,  
4 who's our pharmacokineticist, to describe why the  
5 approach that we're taking.

6 Dr. Roy?

7 DR. ROY: Amit Roy, clinical pharmacology,  
8 Bristol-Myers Squibb. So, yes, the clearance of  
9 nivolumab does decrease with decreasing body  
10 weight. And therefore, dosing on a milligram per  
11 kilogram basis is expected to achieve approximately  
12 similar exposures in pediatric patients as in  
13 adults. The fixed dose we lower in pediatric  
14 patients, and the clearance will also be lower in  
15 pediatric patients.

16 MR. MOYER: Does that address your question?  
17 You seem to have another --

18 DR. CASAK: So the dose will be higher  
19 basically in smaller kids than in adults.

20 MR. MOYER: The dose will be higher in  
21 smaller kids?

22 DR. CASAK: The exposure, not the dose.

1 DR. ROY: So thus far from our  
2 pharmacokinetic data in adults, we've seen that  
3 over a wide body weight range, we see similar  
4 exposures given a milligram per kilogram dose. And  
5 because the mechanism of elimination of nivolumab  
6 is not fundamentally different in pediatric and  
7 adult patients, a milligram per kilogram dose,  
8 which in the lower body weight patient will be a  
9 lower dose amount, is expected to achieve  
10 approximately similar exposures because the  
11 clearance will also be lower.

12 DR. CASAK: Thank you.

13 DR. SMITH: Dr. Widemann and Dr. Sekeres,  
14 and then we'll proceed to the open public hearing.

15 DR. WIDEMANN: I was wondering if you could  
16 inform us a little bit about the time of resolution  
17 of adverse events, single agent and the  
18 combination? Typically, the adverse events resolve  
19 very quickly after stopping an agent.

20 MR. MOYER: So your question is the timing  
21 of when they occurred?

22 DR. WIDEMANN: How long it takes for these

1       adverse events to resolve, and they are fully --

2               MR. MOYER:   Dr. Feltquate, could you address  
3       the question of resolution of the adverse events  
4       after onset?

5               DR. FELTQUATE:   Just a point of  
6       clarification.   Were you asking for both the  
7       combination or just monotherapy?

8               DR. WIDEMANN:   I think I'm more interested  
9       in the combination because the incidence was  
10      higher.   And I was wondering do these adverse  
11      events resolve and how long does it take.

12              DR. FELTQUATE:   Sure.   Resolution occurs  
13      over the course of days, and in some cases as long  
14      as many weeks, depending on the severity.   So the  
15      patients who require corticosteroid treatment, we  
16      often find that the symptomatology and the grading  
17      decreases over the course of that first week, and  
18      there will be full resolution over the course of  
19      several weeks.

20              DR. SEKERES:   Thank you.   Given the adverse  
21      events that have been seen in adults, are there any  
22      tumor types or locations you would avoid in the

1       pediatric population?

2               MR. MOYER: Any tumor types that we would  
3       avoid?

4               DR. SEKERES: Tumor types or locations of  
5       tumors that you would avoid treating?

6               MR. MOYER: Dr. Namouni, any tumor types  
7       that we would avoid or location of tumors that we  
8       believe should be avoided, based on our evidence  
9       thus far?

10              MR. NAMOUNI: Thank you. Based on our  
11       discussion and collaboration with many  
12       investigators in the United States and in the  
13       European Union, we are not excluding tumors or  
14       settings based on safety at this point. We  
15       presented the four tumors that we would like to  
16       start with, and then expand based on the knowledge  
17       that we will gain from biomarker studies, from some  
18       relevant adult data, or from the signal that we  
19       would see in the very first safety cohorts in  
20       children.

21              DR. SEKERES: So in other words, if you have  
22       like a p-nadir [ph] or synovial in the lungs, the

1       pneumonitis signals that you're seeing and that we  
2       saw with the previous drug wouldn't be concerning?

3               DR. NAMOUNI: We would be doing this in the  
4       context of phase 1, obviously, and would be very  
5       carefully assessing patients in this phase 1.

6               DR. SMITH: Dr. Reaman, last question.

7               DR. REAMAN: Thanks. Just to follow up on  
8       the issue of CNS metastases in patients with  
9       melanoma, was there evidence of activity? And is  
10      there evidence that this agent as an IgG for  
11      antibody actually crosses the blood-brain barrier?  
12      And if so, to what extent?

13              MR. MOYER: Dr. Renzo Canetta, could you  
14      address the question regarding observations of any  
15      patients with CNS metastases in the melanoma  
16      population and also whether the antibody does  
17      cross?

18              DR. CANETTA: So in the case of nivolumab up  
19      to this point, only patients with stabilized CNS  
20      lesions have been accrued to the trial. However,  
21      in the case of ipilimumab, where the patient  
22      population for the phase 3 trials consist of the

1 patient with stabilized lesion, for that program,  
2 we actually conducted a specific trial for patients  
3 with active brain metastases for melanoma.

4 The results are published in Lancet. The  
5 first doctor is Dr. Mark Golding [ph] from the  
6 University of California, San Francisco. And  
7 remarkably there, the efficacy existed and was  
8 observed. The longer term effect in terms of  
9 survival were similar, actually, to the population  
10 with non-active brain metastases. There was a  
11 slight difference in outcome for patients who  
12 required the steroid treatment because of  
13 symptomatic presentation versus those that did not.

14 The second question for you, does it  
15 cross -- I think the answer is in the biology. It  
16 doesn't need to cross the blood-brain barrier  
17 because, remember, we are targeting the immune  
18 system, and the lymphocytes do that.

19 DR. SMITH: We need to --

20 UNIDENTIFIED MALE: Before you go, I just  
21 have a follow-up question of Dr. Reaman's. In that  
22 population of patients who had stable, metastatic

1 disease to the brain, did you notice any different  
2 toxicity profile in that patient population?

3 DR. CANETTA: No. We actually did conduct a  
4 regulatory submission for ipilimumab, quite a  
5 number of analyses, including prior use of  
6 steroids, concomitant use of steroids. Patients  
7 with stabilized lesions often are maintained and  
8 tapered on steroids. There was no difference in  
9 toxicity. There was no difference in efficacy.

10 **Open Public Hearing**

11 DR. SMITH: Thank you. So we'll begin the  
12 open public hearing, and there is some text that I  
13 must read.

14 Both the FDA and the public believe in a  
15 transparent process for information-gathering and  
16 decision-making. To ensure such transparency at  
17 the open public hearing session of the advisory  
18 committee meeting, the FDA believes that it is  
19 important to understand the context of an  
20 individual's presentation.

21 For this reason, FDA encourages you, the  
22 open public hearing speaker, at the beginning of

1 your written or oral statement to advise the  
2 committee of any financial relationship that you  
3 may have with the sponsor, its product, and if  
4 known, its direct competitors. For example, this  
5 financial information may include the sponsor's  
6 payment of your travel, lodging, or other expenses  
7 in connection with your attendance at the meeting.

8 Likewise, the FDA encourages you at the  
9 beginning of your statement to advise the committee  
10 if you do not have any such financial  
11 relationships. If you choose not to address this  
12 issue of financial relationships at the beginning  
13 of your statement, it will not preclude you from  
14 speaking.

15 The FDA and this committee place great  
16 importance in the open public hearing process. The  
17 insights and comments provided can help the agency  
18 and this committee in their consideration of the  
19 issues before them. That said, in many instances  
20 and for many topics, there will be a variety of  
21 opinions. One of our goals today is for this open  
22 public hearing to be conducted in a fair and open



1 way, where every participant is listened to  
2 carefully and treated with dignity, courtesy and  
3 respect. Therefore, please speak only when  
4 recognized by the chair.

5 So at this time, will speaker number 1 step  
6 up to the microphone and introduce yourself?  
7 Please state your name and any organization you are  
8 representing, for the record?

9 DR. MASSUCCO: My name is Dr. Anna Massucco,  
10 and I'm representing the Cancer Prevention and  
11 Treatment Fund. So with that, I'll begin.

12 Thank you so much for the opportunity to  
13 speak today, again, on behalf of the Cancer  
14 Prevention and Treatment Fund. My name is Dr. Anna  
15 Massucco, and after completing my PhD in  
16 developmental biology from Harvard Medical School,  
17 I conducted research at the National Cancer  
18 Institute. And so I bring those perspectives  
19 today.

20 Our nonprofit organization conducts  
21 research, scrutinizes data and the research  
22 literature, and then explains the evidence of risks

1 and benefits to patients and providers. Our  
2 president is on the board of directors of the  
3 Alliance for a Stronger FDA, which is a nonprofit  
4 dedicated to increasing the resources that the FDA  
5 needs to do its job. Our organization does not  
6 accept funding from pharmaceutical companies, and  
7 therefore I have no conflicts of interest.

8 Pediatric cancers represent a dire, unmet  
9 medical need. Several pediatric cancers still  
10 cannot be cured, and patients relapse within a few  
11 years. Cancer immunotherapy is an area of great  
12 excitement and promise for addressing these issues  
13 as we seek non-genotoxic strategies for pediatric  
14 patients who are uniquely vulnerable to those  
15 long-term effects of such treatment. Therapies of  
16 this class have some potential to synergize with  
17 existing standards of care, which is an essential  
18 aspect of the combination therapies ultimately  
19 required for curative care. We support the FDA's  
20 efforts to expedite medical advances for pediatric  
21 cancer patients, but this priority should not come  
22 at the cost of safety standards.

1           Although distinct from the side effects  
2     resulting from traditional chemotherapy, nivolumab  
3     and MK-3475 do have significant risks. Three  
4     deaths occurred in the trials of nivolumab in  
5     advanced malignancy patients, adult patients, due  
6     to uncontrolled pneumonitis. Out of 296,  
7     1 percent. Grade 3 and 4 adverse events occurred  
8     in 14 percent of these patients.

9           The assertion that pediatric patients will  
10    tolerate this drug comparably to adults relies on a  
11    single ongoing study of a different drug,  
12    ipilimumab, and only 6 patients under the age of 12  
13    to date. Well, ipilimumab is also an  
14    immunomodulatory drug. It is a distinct agent with  
15    a different mechanism of action. Thus, critical  
16    safety data cannot be extrapolated from these  
17    studies.

18          The Bristol-Myers Squibb studies do not  
19    include any preclinical data in non-adult primates.  
20    As the Bristol-Myers Squibb briefing document  
21    acknowledges, these drugs may have different and  
22    more pronounced effects in pediatric patients since

1       their main system is still developing. I have four  
2       recommendations that I respectfully suggest you  
3       consider.

4               In the Merck preclinical studies, toxicity  
5       was evaluated in primates at an age roughly  
6       comparable to a young toddler, but the plan here  
7       calls for trials in infants as young as 6 months of  
8       age. Before pediatric studies began, longer term  
9       preclinical studies of MK-3475 and nivolumab should  
10      be performed in primates at comparable stages of  
11      development so that these patients are not exposed  
12      to greater safety risks than those already observed  
13      in adults.

14             Until such studies are conducted, I hope you  
15      will urge the FDA to oppose the Bristol-Myers  
16      Squibb plan to initiate pediatric studies in  
17      nivolumab immediately at the adult dose of 3 mgs  
18      per kg without any further preclinical studies.

19             Secondly, the Bristol-Myers Squibb plan also  
20      includes pediatric trials using the combination of  
21      nivolumab with ipilimumab. This combination  
22      resulted in markedly increased toxicity in

1 preclinical studies, which were conducted for only  
2 4 weeks and also in the study of adult humans.

3 In the melanoma study in adults, almost half  
4 the patients, 49 percent, experienced grade 3 or 4  
5 events. This percentage is higher than the  
6 40 percent who showed beneficial clinical response.  
7 In other words, the risks outweighed the benefits  
8 with more patients experiencing serious side  
9 effects than benefitting. Combination treatment  
10 was discontinued in 21 percent of patients in this  
11 trial due to these adverse events.

12 Other studies have indicated that these  
13 serious adverse events are not always reversible.  
14 For example, 2 percent of patients taking  
15 ipilimumab in a phase 3 trial had hypopituitarism,  
16 which can be permanent. This condition requires  
17 long-term hormone replacement therapy, but even  
18 that will not completely eliminate significant  
19 health risks. Tragically, those risks would be  
20 exacerbated in young patients who are still  
21 developing. Longer preclinical studies are needed  
22 to evaluate safety before it be ethical to begin

1 combination trials with ipilimumab.

2           Number 3. The Bristol-Myers Squibb briefing  
3 document emphasizes the importance of early  
4 detection for management of adverse events. High  
5 doses of corticosteroids will undoubtedly be  
6 required to control drug related adverse events,  
7 and this could be dangerous in children in  
8 particular.

9           We agree with FDA that the long-term effects  
10 of immune modulation should be carefully considered  
11 in the context of a pediatric population. The  
12 pediatric study plan does not yet delineate  
13 specific steps for rapid clinical detection and  
14 management of these events, which will be more  
15 difficult in these patients. It is essential that  
16 those specific steps be delineated before research  
17 is conducted.

18           Lastly, as the FDA has noted, the  
19 appropriate combination and sequence of use of  
20 these agents with other non-overlapping mechanism  
21 of action agents should be a priority consideration  
22 in the ongoing studies in adults. We also agree

1 with the FDA that the threshold of PD-L1 expression  
2 used for patient selection should be modified for  
3 combination therapy where PD-L1 expression could be  
4 induced. Therefore, a lower initial threshold of  
5 expression may still identify a responsive patient  
6 population and that the planned biomarker studies  
7 explicitly address this possibility. This will  
8 ensure that these agents are used to the greatest  
9 effect in all patients who need them.

10 In conclusion, the four steps I outlined  
11 above will help reduce the risks to children with  
12 pediatric cancer and also help ensure that these  
13 therapies will reach the patients most likely to  
14 benefit from them. Thank you.

15 **Questions to the Subcommittee and Discussion**

16 DR. SMITH: Okay. Thank you for your  
17 comments.

18 The open public hearing portion of this  
19 meeting has now concluded, and we will no longer  
20 take comments from the audience. The committee  
21 will now turn its attention to address the task at  
22 hand, the careful consideration of the data before

1 the committee as well as the public comments. And  
2 we will begin the panel discussion portion of the  
3 meeting. Although this portion is open to public  
4 observers, public attendees may not participate  
5 except at the specific request of the panel.

6 Dr. Reaman from FDA will introduce the  
7 questions.

8 DR. REAMAN: Thank you. So we have a series  
9 of five questions. There's actually been some  
10 discussion of some of these, but I think there's an  
11 opportunity to expand on some of that discussion.

12 Question number 1 is, please consider the  
13 potential role of the checkpoints in  
14 immunoregulatory T cells in children and how their  
15 pharmacological manipulation might be applicable in  
16 the treatment of molecule cancer and possibly lead  
17 to synergism with currently used drugs.

18 I think we've had a little bit of comment  
19 about utilizing these or integrating these into  
20 standard regimens, but we haven't been very  
21 specific about what standard regimens. So we have  
22 certainly touched upon the issue of perhaps the



1       inhibitory effect of corticosteroids, but are there  
2       other drugs that we might want to avoid using in  
3       combination, or are there drugs that we might  
4       specifically select to consider in evaluating  
5       combination therapies?

6               DR. SMITH:   Dr. Warren?

7               DR. WARREN:   So I think this gets back to  
8       this morning's session where we don't know what's  
9       the minimum immune function that's necessary in  
10      order to have responses to these agents.  And if  
11      you have a concurrent therapy that has effects on  
12      the immune system, either boosting it or  
13      immunosuppressive, it may definitely affect the  
14      response to these agents.  So I think there is  
15      definitely room for some more preclinical testing  
16      to see what's the minimum function you need in  
17      order to get a response.

18              UNIDENTIFIED MALE:   I would step back a bit  
19      before we talk about what we could combine it with  
20      and focus on the need to identify are there the  
21      pediatric equivalence of melanoma or non-small cell  
22      lung cancer, or renal cancer, within the pediatric

1 population, either anti-PD-1 alone or the  
2 combination of nivolumab and ipilimumab.

3 If there is, then there are all sorts of  
4 combinations that could be considered. But really,  
5 that has to be the first point. And I think,  
6 obviously, we can be hopeful that in a refractory  
7 population, we're going to identify those  
8 populations that are responsive. But I think it's  
9 obviously far from certain yet that that will be  
10 the case.

11 DR. REAMAN: The purpose of the question was  
12 not really to design studies looking specifically  
13 at combinations, but rather are there -- and I  
14 certainly understand Dr. Warren's concern. I'm not  
15 sure what preclinical experiments, models, could  
16 actually be used or perform to address the  
17 situation to the point that you might want the  
18 answer about the absolute minimum number of  
19 competent T cells required to see a response. But  
20 are there situations where we think something might  
21 synergize or be synergistic with these, given the  
22 mechanism of action? Or are there drugs, either

1 given in combination or given prior to exposure to  
2 these, that we may want to avoid in the evaluation  
3 or testing, or at least consider their potential  
4 impact as we evaluate both efficacy and toxicity?

5 So that's really --

6 DR. WARREN: Well, I think you bring up a  
7 good point in that is this something you can give  
8 with cytotoxic chemotherapy? And we don't have  
9 data on that right now. But I think the concern  
10 would be is making sure you have T cells around and  
11 how your cytotoxic therapy interacts with that.

12 DR. REAMAN: And I guess that would be a  
13 question maybe for both of the sponsors. Are there  
14 data to suggest that there's a better or worse  
15 response based on patients' immune status or immune  
16 function at the time of receiving therapy? Have  
17 those investigations even been performed in any of  
18 the clinical trial data that's available to date?

19 MR. MOYER: Renzo can follow. So you're  
20 asking about some overall immune status. Is that  
21 right?

22 DR. REAMAN: [Inaudible - off mic.]

1 UNIDENTIFIED MALE: Yes. I think our  
2 eligibility criteria of course exclude patients who  
3 have either very severe immune dysfunction or  
4 autoimmune issues as well. So I don't think we  
5 really have that data.

6 I think I would take -- again, it goes back  
7 to, at the tumor level, of course, we and others do  
8 have data that says that if PD-L1 expression is up,  
9 there's a higher likely response in both of the  
10 histologies we studied.

11 DR. CANETTA: And I will say that the second  
12 aspect that you briefly alluded to is the  
13 interaction with other standard treatments in  
14 immune systems. There is quite a lot of literature  
15 produced mostly by the group of Dr. Zitvogel in  
16 France and by Dr. Koukas [ph] in the states, that  
17 tested different cytotoxic agents in combination  
18 with immunological agents. With probably known  
19 conclusive results, certain agents seem to combine  
20 better.

21 The big issue here, one always thought that  
22 cytotoxic chemotherapy is a no-no if you are using

1 an immunostimulating agent. Yet there is an entire  
2 different way of thinking that speaks of other  
3 modality, not only including cytotoxic chemotherapy  
4 but also radiation therapy with the abscopal  
5 effect, the exposure of antigen, the presentation  
6 of antigen, and the fact that tumor burden  
7 reduction might actually facilitate the immunologic  
8 approach.

9 I think the jury is still out. But what is  
10 interesting and important to point out is that the  
11 appropriate clinical trials are actually now going  
12 on, obviously. Initially, indeed, we're doing with  
13 them with ipilimumab, but obviously in the future,  
14 they will be done with other agents.

15 DR. SMITH: Dr. Widemann, did you have  
16 comment on this?

17 DR. WIDEMANN: I was just wondering also to  
18 consider the timing. And right now this would be  
19 given to children with refractory cancers that are  
20 very heavily pretreated. And we heard that adults  
21 that are heavily pretreated can respond, but a  
22 thought would be a different time to -- if these

1 agents potentially lead to more efficacy.

2 Also, as Dr. Sondel pointed out, potentially  
3 patients that have lower tumor burden or minimal  
4 disease, would they have a better outcome, or  
5 potentially could this prevent tumor recurrence and  
6 be another setting to explore these agents?

7 DR. SMITH: Ms. Goodman?

8 MS. GOODMAN: I just have a question whether  
9 it would be possible or reasonable to collect data  
10 on treatment history for each patient so that there  
11 could be a possibility of correlating certain  
12 agents with outcomes. Of course, it may not  
13 necessarily be powered enough to have a really  
14 comfortable p-value, but I think it might provide  
15 some information that would be valuable.

16 UNIDENTIFIED MALE: I would think that  
17 studies that are looking at efficacy, it would be  
18 appropriate to certainly collect those data. I  
19 mean, I think in the early phase studies, where  
20 definition of dose-limiting toxicities and defining  
21 a recommended phase 2 dose, that may not be the  
22 appropriate or the best.

1           But I'm a little bit concerned because we  
2       talk about pretreatment, but when you speak about  
3       pretreatment in the pediatric setting, since all of  
4       our therapies are so intense and so  
5       myelosuppressive, immunosuppressive, what impact is  
6       that prior therapy or concurrent therapy going to  
7       have on the activity of these agents? So I think  
8       it is something that we would need to think about  
9       in designing probably later phase studies.

10           DR. SMITH: I think that really gets to the  
11       point Dr. Sondel made that in fact cytotoxic  
12       chemotherapy is remarkably effective for a number  
13       of different conditions. And so, the relevant  
14       question for many of these diseases, given where we  
15       are now, is given cytotoxic chemotherapy that's  
16       effective and the immune system that's affected by  
17       that chemotherapy, then how well does the  
18       immunotherapy respond?

19           Dr. Fingert?

20           DR. FINGERT: We've been talking about  
21       the -- Dr. Canetta mentioned the evolving work  
22       that's ongoing to better understand this question

1 of combining with immunotherapies and cytotoxic  
2 therapies. But I'm concerned here about learning  
3 from experience from the adult where the same sorts  
4 of thinking was applied.

5 So for example, in common adult indications,  
6 like lung cancer, there have been studies with TLR9  
7 combined with standard chemotherapy, where the  
8 outcomes actually was worse when you had the  
9 combination, more toxic, less activity, more  
10 deaths. So that was in a common indication.

11 When we're talking about pediatrics with  
12 rare subsets to move now into a combination with  
13 cytotoxics with our current state of knowledge, it  
14 concerns me that it would be premature to start to  
15 go that route unless there was really much more of  
16 a sound basis to build on. To just do it  
17 empirically could be using up a lot of patients in  
18 rare indications.

19 DR. SMITH: Greg?

20 DR. REAMAN: I don't think there's any plan,  
21 and I wasn't suggesting that there should be a plan  
22 to empirically develop combinations and start



1       investigating combinations. So it is premature.  
2       First of all, we don't know how tolerable these  
3       agents are going to be in children, what the  
4       effective or optimum biologic dose is in children,  
5       and if there's any signal of efficacy in any  
6       pediatric cancers.

7               So I think we need all of that information  
8       before we start actively talking about any  
9       combinations. But this was really more a question  
10      of theoretical concern and the potential impact of  
11      prior or concomitant chemotherapy and what that  
12      might have on the efficacy of these checkpoint  
13      inhibitors.

14             DR. SMITH: Dr. Armstrong?

15             DR. ARMSTRONG: Just a couple things. I  
16      want to come back on the flip side of that and  
17      stress -- I heard from Dr. Sondel very clearly this  
18      relationship to tumor burden and response, so a  
19      clear plan for being able to link that. And in  
20      cases where reduction of that tumor burden is  
21      possible, to have a plan for considering that prior  
22      to instituting the therapy makes sense, both from a

1       scientific perspective and also there's a potential  
2       benefit to the participants who are in the study.  
3       That is confounded somewhat by the cytotoxicity  
4       that might be involved in that pretreatment.

5               The other piece of this is looking at the  
6       AEs. And when we're looking at children, we know  
7       that many of the adverse events of current  
8       therapies aren't seen for a period of time, but  
9       those are developmental in nature. And so I raise  
10      the question and challenge to have a very good  
11      developmental assessment of AEs, not just acute but  
12      emerging particular, especially in children under  
13      5.

14             DR. SMITH: Dr. Goldman?

15             DR. GOLDMAN: I mean, I certainly agree with  
16      what you say. I think the only concern is in a  
17      phase 1 setting, the chance of actually collecting  
18      any of that data -- unless these are all  
19      homerun -- are going to be so minimal.

20             Going back to Kathy's comment earlier, I was  
21      wondering, do you think there should be a minimal  
22      immune function panel done as a criteria to be

1 eligible for the trials?

2 DR. WARREN: What we do right now is sort of  
3 pick an arbitrary number for some of the studies.  
4 Say you needed an absolute lymphocyte count of 500,  
5 what does that mean? We don't know. CD4? CD8?  
6 We just sort of pick it arbitrarily. And so it  
7 would be helpful to know if there's a minimum  
8 number.

9 Also, I think -- and correct me if I'm  
10 wrong. I think there was a preclinical study  
11 looking at ipi and temozolomide, which is  
12 lympho-depleting, which showed more activity than  
13 ipi alone. Again, you guys correct me if I'm  
14 wrong. So we don't know if more is better or less  
15 is better.

16 UNIDENTIFIED MALE: Perhaps again we could  
17 get the sponsor's comments on this just in  
18 terms -- Alc of 500, is that something that has any  
19 plausibility from your perspective in terms of  
20 entry criteria for this type of therapy?

21 DR. CANETTA: Renzo Canetta, BMS, clinical.  
22 If I could make three points, number one, on the

1       biomarker. I think we have evidence that Alc is a  
2       good biomarker post-initiation of treatment. There  
3       is no correlation between the Alc count before  
4       treatment begins and the outcome of treatment with  
5       ipilimumab. Again, our experience is limited to  
6       ipilimumab.

7               There have been a number of biomarker tests  
8       that have been conducted. We know that there is a  
9       reduction in theoretics. We know that there are  
10      certain genetic characteristics that are actually  
11      related to inflammatory conditions that could  
12      relate to a potential effect. But at this moment,  
13      I don't think it would be fair to say that we have  
14      a pretreatment predictive biomarker, and certainly  
15      not the Alc.

16             The second thing, because it was asked  
17      of -- we actually ever conducted -- and again, for  
18      ipilimumab -- two fairly large, randomized, phase 2  
19      trials, one in small cell lung cancer and one in  
20      non-small cell lung cancer, where we compared  
21      standard chemotherapy, standard chemotherapy  
22      concomitant with ipilimumab, and standard

1 chemotherapy where ipilimumab started after two  
2 cycles of chemotherapy.

3 Interestingly enough, in both trials,  
4 superiority was shown in terms of progression-free  
5 survival, and in one of the two trials with a  
6 trending survival as well for the phased approach,  
7 which is consistent with reduction of the tumor  
8 burden and consistent with presentation advantage.  
9 Now, these are randomized phase 2 trials. We're  
10 conducting right now randomized phase 3 trials,  
11 where we are comparing the standard of care versus  
12 the phased type of approach. These trials are  
13 ongoing.

14 Then there was a third question -- I'm  
15 sorry -- concerning tumor burden. If you think of  
16 the data that have been presented today, and if you  
17 think of the effect that checkpoint inhibition  
18 exerts in terms of long-term effect, long-term  
19 survival, our preoccupation is really to help -- I  
20 mean, we rejoice for the results of the long-term  
21 survival, but how do we handle the patients on the  
22 left part of the curve?

1           Again, coming with the standard of care may  
2     be an approach, and we're exploring it. But the  
3     data that was presented today at least indicates  
4     the potential that by combining immune agents, we  
5     could actually exert the type of tumor burden  
6     reduction that might actually help and gain the  
7     time to mount a complete immunoresponse to the  
8     tumor. That's if it does [indiscernible].

9           UNIDENTIFIED MALE: We also don't know from  
10    our current data set whether there are markers in  
11    peripheral blood such as lymphocyte counts that are  
12    associated with outcome. Over time as the data set  
13    grows, we may learn more. Our hypothesis, though,  
14    is that regardless of what's in the periphery, if  
15    the tumor shows that there's a preexisting immune  
16    response. There are T cells present. There is  
17    up-regulation of PD-L1 such that it appears the  
18    tumor's responding to a tumor-specific immune  
19    response. And then that patient is likely to  
20    respond, probably irrespective of what you can  
21    observe in the periphery.

22           DR. SMITH: Thank you.

1 DR. REAMAN: We can go on to the second  
2 question unless there's any comment about number 1.  
3 We did hear one example of combination inhibiting  
4 multiple checkpoint pathways. Is that a role that  
5 has potential in pediatrics? So I think just a  
6 brief discussion of that since we don't even have  
7 evidence that inhibition of a single checkpoint has  
8 a role at this point. But if it does, does  
9 combining inhibitors and looking for multiple  
10 inhibition make sense? If that makes sense.

11 DR. SMITH: I comment that obviously this is  
12 a extraordinarily active area of research in adult  
13 cancers. And it's not just the PD-1 CTLA4 targets,  
14 but multiple other targets as well. So I think in  
15 pediatrics, we're really going to have to learn  
16 from the adults. The ipi/nivolumab combination  
17 looks interesting. There are multiple other  
18 combinations that are in clinical development or  
19 will soon be in clinical development of other  
20 checkpoint inhibitors and other immune related  
21 targets.

22 I think that's one of the challenges, is

1       that today we're talking about these two. But a  
2       year or two years from now, there will be three or  
3       four other similar agents that we will want to  
4       think about for pediatric development. And so it's  
5       really going to take a prioritization and a careful  
6       learning from the adult experience, and I think a  
7       careful interrogation of pediatric tumor tissues to  
8       understand what are the most promising ones to move  
9       forward in pediatrics.

10               UNIDENTIFIED FEMALE: Yes, I agree with  
11       Malcolm there's such limited data right now, other  
12       than the diseases in pediatrics that occur in  
13       adults where activity has been shown already. And  
14       what you said, Greg, that if you do this in phase 2  
15       studies, you want to at least look at all the  
16       potential factors that might impact response that  
17       are collective respectfully so that you can then  
18       enrich your population from the potential  
19       responders. And tumor expression is one aspect,  
20       but other immunologic factors or other clinical  
21       characteristics that might be important should be  
22       looked at.



1 UNIDENTIFIED FEMALE: It's dangerous to  
2 disagree with two very esteemed doctors when all  
3 you have is a lowly JD degree. But I just want to  
4 say from the parents' perspective, I think we have  
5 two goals. One is to save kids who may be  
6 diagnosed with cancer in 10 or 15 years. And  
7 certainly a very careful, measured approach,  
8 starting with the monotherapy, is probably the best  
9 way to do that.

10 The second is to see if we can provide any  
11 benefit for children right now who have unmet  
12 medical needs and who could benefit from the  
13 trials. In that respect, I would argue that there  
14 is reason to consider combination therapies. In  
15 the Bristol-Myers case, the results and the  
16 efficacy is clearly more exciting in some of the  
17 combinations you've explored, and query why we  
18 can't offer that potential benefit to children who  
19 would enroll in trials right now today for the next  
20 couple years, the kids who are sick now,  
21 understanding that we are losing something in terms  
22 of information-gathering.

1 UNIDENTIFIED FEMALE: Just to clarify, I was  
2 not opposing to the pediatric development of either  
3 single or combined, but I do think we have  
4 to -- many of the studies we do currently are  
5 negative, almost all of our phase 2 studies. And  
6 one reason maybe is that we are not selecting our  
7 patient population appropriately. So with these  
8 new class of agents, I think we should try to work  
9 hard on maximizing identifying who may respond  
10 because these would be the patients that we would  
11 like to get access to these --

12 UNIDENTIFIED FEMALE: If I could just  
13 respond. I understand that position, so maybe the  
14 response then is to make sure we gather as much  
15 data about each patient as possible and that we  
16 have an informatics system which will enable us to  
17 go back and look at, for example, pretreatment  
18 history or other possible biomarkers, rather than,  
19 say, starting with the monotherapy.

20 DR. SMITH: Dr. Seibel?

21 DR. SEIBEL: We're probably at the point  
22 we're too early to look at this, but if PD-L1

1 expression proves to be a biomarker, then it will  
2 be really important to look at those patients that  
3 should respond, why they don't respond. Or if they  
4 respond, what's the pathway for resistance or what  
5 develops because that would support, then, multiple  
6 checkpoint pathway inhibitions. We're very early  
7 in this process, so there is so much more we need  
8 to find out.

9 DR. SMITH: Dr. Goldman, did you have  
10 comments?

11 DR. GOLDMAN: I actually think it was  
12 covered. I mean, it's putting it down to the  
13 simplest form, what we've learned from cytotoxic  
14 chemotherapy, it's always good to combine agents.  
15 It's much more beneficial, but I think a measured  
16 approach so we know why we're combining and is  
17 important; though I do agree with you that we  
18 ultimately need to help the children that are out  
19 there right now as well.

20 DR. SMITH: Right. And I think the fact  
21 that the Bristol-Myers proposal is moving to  
22 combinations pretty quickly as one of the -- is

1        sending a signal that this is perceived as  
2        something that really should be evaluated quickly  
3        in the pediatric setting. And my point was just  
4        that this will be one of multiple different  
5        combinations that will get signals from the adult  
6        cancer experience in the next two or three years.

7                DR. REAMAN: But I would just stress that  
8        whatever combination we might consider moving  
9        forward with, that it be done in a measured fashion  
10       and with a real rationale, and with enough  
11       correlative biology built in prior to and during  
12       the study to really address issues to understand  
13       why some people don't respond and to help guide us  
14       in predicting which patients will in the future.

15               The third question is please consider the  
16       potential impact of different stages of immune  
17       maturation as a factor influencing tumor response  
18       when using immune cell checkpoint inhibitors. And  
19       I think we did have some discussion of that. I  
20       think without doing a longitudinal epidemiologic  
21       study, we'll probably never have a real answer.  
22       But we do think that infants, but perhaps not

1 neonates -- and that's important these days with  
2 FDASIA because we have to make a specific case for  
3 why we wouldn't study a new compound in the  
4 neonatal population. And I think we have a  
5 rationale in this case.

6 But we do feel, I believe -- as a group, I  
7 think there was some consensus that although we  
8 would certainly want to follow all of these  
9 children for any potential unforeseen and  
10 unexpected toxicity, that we would anticipate that  
11 a child's and infant's response to these agents  
12 should be similar to what's been demonstrated in  
13 the adult population.

14 So number 4. This hopefully will incite  
15 some discussion. Please discuss any concerns about  
16 the potential for long-term modulation of the  
17 immune system and any sequelae that may result and  
18 discuss some possible monitoring strategies.

19 I think although the focus here was on long-  
20 term, I think we ought to think about short-term  
21 monitoring strategies as well as long-term  
22 monitoring strategies, both based on what we've

1 heard about the adult experience to date and the  
2 theoretical pediatric concerns.

3 DR. SMITH: Dr. Widemann?

4 DR. WIDEMANN: So one thought could be for  
5 the design of the phase 1 component to actually  
6 increase the duration of observation for adverse  
7 events beyond the typical 4 weeks. Adverse events  
8 can occur later, and then to allow dose escalation,  
9 that would be one thing for the short term in  
10 phase 1.

11 DR. SMITH: Dr. Sekeres?

12 DR. SEKERES: Thank you. Is there anything  
13 we can learn from the phase 1 trial of ipilimumab?  
14 The age range is from 2 to 21, so what happened to  
15 the kids who were under the age of 5 with this?  
16 How much follow-up do we have? It was initiated in  
17 2008.

18 DR. REAMAN: I'm not sure that we have  
19 anyone here that can actually present those  
20 results. We have the published or presented public  
21 results that Dr. Canetta presented. But we don't  
22 have anyone here who's responsible for those

1 studies at the NCI I don't think that could update  
2 us on that, unless, Brigitte, that's what you're  
3 checking.

4 DR. WIDEMANN: I'm just looking at some data  
5 that Melinda [ph] had given me. She's the PI for  
6 the study. And in the children that were less than  
7 12 years old at the 5 milligram, there were two  
8 patients only. So it's a very small number of  
9 patients still, and one patient had grade 2  
10 angioedema. And then on the 10 milligrams in the  
11 less than 12 year olds, there were three patients.  
12 Only one had grade 3 colitis, and one had grade 3  
13 ALT/AST.

14 I think based on our experience with an  
15 agent, it's very important that investigators  
16 conduct these studies that are experienced in  
17 immune adverse events and act quickly. I think  
18 that's probably the key aspect to this. And when  
19 this goes to multiple sites -- because we're most  
20 used to treating AEs with receptor tyrosine kinase  
21 inhibitors or cytotoxic agents. But I do not  
22 recall -- and again, Melinda could speak better for

1       this -- that the adverse events were more  
2       pronounced in the younger patients, but they were  
3       only very few.

4               DR. SEKERES: And we don't have any insight  
5       into long-term effects on immune reconstitution or  
6       anything in these kids?

7               DR. REAMAN: This was a phase 1 study, so we  
8       don't have a great deal of long-term follow-up  
9       information, unfortunately.

10              DR. SEKERES: But we haven't heard about any  
11       kind of opportunistic infections or anything?

12              DR. REAMAN: I think they were considered as  
13       potential adverse events. I don't think there was  
14       in excess of those. At least the data that was  
15       presented at ASCO last year or the year before  
16       didn't suggest that there was an increased  
17       incidence of opportunistic infections.

18              DR. SEKERES: Can I ask just a somewhat  
19       related question? There were a bunch of kids  
20       enrolled on the phase 1 trial who had types of  
21       sarcomas. Do we have any idea if any of them  
22       responded? Because there's a focus on sarcomas in



1 the development plans as well. But if none of  
2 these kids responded to ipilimumab, should that  
3 even be a focus?

4 DR. REAMAN: I think it's a focus because  
5 it's a major unmet clinical need in pediatric  
6 oncology. Again, in a phase 1 study, we usually  
7 have insufficient data to support whether something  
8 is active in a particular tumor or not. And I  
9 think the fact that there were a number of  
10 sarcomas, probably reflects the referral population  
11 to the pediatric branch where this phase 1 study  
12 was performed.

13 DR. SEKERES: No, I get that. And I'm okay  
14 with -- you talked a little bit earlier about an  
15 adequate biological rationale for enrolling certain  
16 tumor types. And obviously that's the ideal, but  
17 I'm also okay given that a lot of responses we've  
18 seen to chemotherapeutics haven't necessarily  
19 correlated with that biological rationale, taking  
20 more of a broad approach to enrolling in a phase 1  
21 study. But then, I guess I wouldn't call out in  
22 the development plans sarcomas necessarily. I

1       would focus it more on responsive tumors in a  
2       phase 2 evolution or expansion.

3               DR. REAMAN: I thought that was actually the  
4       plan, was to look at specific diagnoses in the  
5       phase 2 setting, and then to develop based on  
6       activity in the phase 2.

7               DR. SEKERES: It's certainly mentioned, but  
8       then why call out sarcomas and neuroblastomas other  
9       than prevalence?

10              DR. REAMAN: Because they're the diseases in  
11       whom we have the worst long-term survival rates in  
12       pediatrics. And they're -- I won't say common, but  
13       they're relatively more common than some of the  
14       very rare tumors who we usually don't have an  
15       opportunity to study.

16              DR. SEKERES: So I get that. And if we use  
17       the adult approach as not necessarily perfect, but  
18       as an example, we wouldn't design a phase 1/2  
19       study, where it says, phase 1, we're going to do a  
20       catch-all, and then phase 2, we're going to focus  
21       on non-small cell lung cancer and other responsive  
22       tumor types. We couldn't call that the non-small

1 cells just because it's prevalent, right? So why  
2 in these development plans call out tumors just  
3 because they're prevalent with no data about  
4 response?

5 DR. REAMAN: I think the reason to get the  
6 data about response is to look at response in  
7 selected tumors. So we generally reserve  
8 broad-based phase 1 studies solely for the  
9 purpose -- although sometimes there are expansions.  
10 If we're fortunate enough to see some activity in a  
11 phase 1 study, you could expand it for rational  
12 purposes to evaluate, in a preliminary fashion,  
13 efficacy.

14 I'm not sure that there is the biological  
15 rationale that you're seeking for the development  
16 plan here, and we recognize that. I think it's  
17 more addressing the unmet need. But it's possible  
18 that with more scientific investigation and more  
19 genomic interrogation, or immunophenotypic  
20 interrogation of archived tumor materials, there  
21 could be more of a rationale for looking at the  
22 specific tumors. And again, I think these are our

1 plans. I would suspect that they're amendable  
2 plans given the evolution of science going forward.

3 DR. SMITH: Just one comment, and then  
4 Ms. Goodman. And there are translocations, these,  
5 and there's evidence for immune response to the  
6 translocation protein, fusion proteins, from other  
7 studies. They are prevalent. There are worse  
8 cancers. And there are potential immunogenic  
9 proteins that are in these cancers.

10 MS. GOODMAN: I'd just like to make -- I'm  
11 sorry to interrupt.

12 DR. SEKERES: I don't want to -- I'll make  
13 this my final comment so I don't belabor this too  
14 much. But it seems like kids with refractory  
15 cancers is a terrible thing, and it seems like it's  
16 a finite number as well. The example of the  
17 ipilimumab phase 1 study is a good one. And it's  
18 also illustrative because it started in 2008, and  
19 as of 2012, it had enrolled 26 kids. There aren't  
20 a lot out there. So I would just hope that in a  
21 phase 2 expansion plan, there was a little bit more  
22 of a rationale for even calling these kids out.

1       Otherwise, they may be without any basis for  
2       response to ipilimumab.

3               MS. GOODMAN: I would just like to give more  
4       robust defense to Dr. Reaman's position, which is,  
5       to me, I think the more interesting question really  
6       is where's the unmet medical need? The question  
7       isn't where is this drug most exciting because for  
8       some indications, there may be other drugs for  
9       which the clinicians working on a particular  
10      pediatric indication have other priorities that  
11      they want to look at for this group of kids.

12              My understanding is the sarcoma community  
13      has been very excited about this drug, and perhaps  
14      that's one of the reasons sarcoma was emphasized.  
15      But if so, to me that strikes me as an appropriate  
16      decision-making process for the sarcoma community.  
17      They've decided now is the time to explore this  
18      particular treatment.

19              So we need to have not only a drug-centric  
20      decision-making process here where we ask where can  
21      this drug be most -- have the greatest signal and  
22      have the most efficacy, but a patient-centric focus

1       which asks -- we have a very small population for  
2       each indication. What's the most interesting  
3       question to ask for each population.

4               DR. SMITH: Dr. Goldman?

5               DR. GOLDMAN: To address your issue, these  
6       are just the realities of people who are actually  
7       enrolling patients on phase 1 trials. And that  
8       number somewhat reflects that 26 patients accrued  
9       over that time, the limitation on the number of  
10      sites for that study. So you can't just say it's a  
11      slow-accruing trial. You have to look at all the  
12      reasons for that. And there are also other  
13      competing phase 1 studies for that patient  
14      population as well, where patients may not have to  
15      travel as far, et cetera, et cetera.

16              But to go more specifically to question  
17      number 4, I think one of the issues about long-term  
18      modulation of the immune system is we may need to  
19      change some of our definitions of how we follow  
20      kids coming off the phase 1 trials. So instead of  
21      a 30-day follow-up or until all symptoms we think  
22      are drug related have now come back to baseline, we

1       may want to have a longer observational period.  
2       And I think it addresses some of the questions that  
3       Dr. Massucco commented on as well.

4               Now, grant it, I know that many of these  
5       patients go on to other trials, and many of these  
6       patients may unfortunately leave us. We still  
7       could have a longer period of observation for these  
8       patients, which would help answer directly question  
9       number 4.

10              DR. SMITH: Dr. Seibel?

11              DR. SEIBEL: When we were talking about the  
12       monitoring for safety or monitoring strategies, I  
13       guess, particularly with some of the sarcoma  
14       patients since pulmonary metastases are a major  
15       site of recurrent disease, we should have some  
16       strategies in view of the pneumonitis or to monitor  
17       these patients closely because this has come up  
18       before with other agents.

19              DR. SMITH: Dr. Fingert?

20              DR. FINGERT: So just a comment about the  
21       last comment before my question. I just want to  
22       remind people there have been similar issues with

1     other drugs that cause pneumonitis and risk  
2     management plans that have included things like  
3     looking at finger FI02 for a minimum, because you  
4     don't want somebody who's immediately -- my  
5     question really has to do with how can we think  
6     about, talk about, address the long-term safety and  
7     understanding.

8             Dr. Widemann before looked up some  
9     information about very small numbers that have  
10    happened in the oncology field. But I'd like to  
11    ask the sponsor -- maybe if Bristol-Myers could  
12    address this -- should we be thinking and learning  
13    from other fields?

14            So for instance, juvenile RA, there have  
15    been registered drugs with long-term follow-up of  
16    immunomodulators. Crohn's disease, there have been  
17    registered drugs with serious immunomodulators  
18    followed long term, studied in children. Are those  
19    the kinds of examples where there might be  
20    something informative to how we think about  
21    providing the right kind of advice, moving forward  
22    with this kind of program?



1 DR. SMITH: Do any of the sponsors want to  
2 address that?

3 DR. CANETTA: Maybe I can offer a couple of  
4 --

5 DR. SMITH: Please identify for  
6 the -- please identify yourself.

7 DR. CANETTA: I'm sorry. Renzo Canetta,  
8 BMS, clinical. Maybe I can offer to the panel a  
9 couple of set of data that I believe could be  
10 useful in this case.

11 Number one, we followed the patient in our  
12 pivotal trial of ipilimumab for a long term, and  
13 the data actually had just been published two weeks  
14 ago in Annals of Oncology. And we had I believe 74  
15 patients, if I remember correctly, that had been  
16 alive in excess of two years. And we followed  
17 their safety profile. Very, very interesting, the  
18 only predominant side effect that was observed was  
19 vitiligo. And we're told by our immunology  
20 consultant that that's a good sign because it's a  
21 sign of activation of the immune system. We didn't  
22 see incidence of autoimmune disease emerging,

1 again, in adults with melanoma treated with  
2 ipilimumab.

3 So that's the first set of information. The  
4 second set of information, we looked at young  
5 adults in our entire ipilimumab. Again, I only can  
6 talk because that's where the data are. And we  
7 identified that 37 patients across the seven trials  
8 who had metastatic melanoma,, and at an age of 20  
9 to 30 years of age.

10 Safety-wise, the incidence -- and this is  
11 the incidence not after two years but the overall  
12 incidence on study -- was a 54 percent any grade of  
13 toxicity and 5 percent grade 3 and 4 toxicity,  
14 colitis, so relatively consistent with what has  
15 been seen with older patients. Interestingly  
16 enough, the long-term survival in this group of  
17 young adults was 17 percent exceeding the two-year  
18 follow-up, so very consistent with what has been  
19 seen in adults.

20 DR. SMITH: Just one question of you, Renzo,  
21 the autoimmune diseases or conditions that develop  
22 and reverse slowly would be perhaps the greatest

1 concern. You mentioned reversibility in your  
2 two-year follow-up, but for things like the  
3 hypophysitis, can you comment on any that you think  
4 are not reversible and comment on the impact that  
5 might have?

6 DR. CANETTA: Yes. Again, in our ipilimumab  
7 experience, but then we can comment also on the new  
8 experience, the acute immune mediated effect, such  
9 as colitis, GI toxicity, et cetera, they are  
10 reversible. The only one that we cannot consider  
11 reversible are the ones that affect the endocrine  
12 system. And they do require hormonal replacement.  
13 We have seen that for thyroiditis. We've seen that  
14 for hypophysitis. And basically, these are  
15 patients that are asymptomatic on maintenance  
16 replacement hormonal treatment, but that has to be  
17 maintained over time.

18 DR. SMITH: Dr. Widemann?

19 DR. WIDEMANN: Just a comment. For phase 1  
20 studies in pediatrics, the median survival is  
21 something like 5 months when patients start. So  
22 unless we see very good results, we may not really

1 be able to see the long-term side effects. But if  
2 we move this into more frontline therapy, this  
3 would be important. And as it relates to the ages,  
4 I do think most of the time, we have a hard time  
5 enrolling very young patients. And that's  
6 something that we desire in phase 1 studies. But  
7 if there were concerns, one could easily -- which  
8 likely would have been any case. They will enroll  
9 two or three patients first that are somewhat older  
10 children, and then move to allow enrollment of very  
11 young children. And that would address some of the  
12 safety concerns.

13 DR. REAMAN: I guess I was just trying to  
14 show a sense of potential optimism here. So the  
15 long-term -- or the strategies for long-term  
16 follow-up wasn't really addressing patients on  
17 phase 1 studies, but with the hope and expectation  
18 that these do become part of what might be  
19 considered standard therapy for children and what  
20 long-term measures.

21 I think your point about staging the  
22 enrollment of patients based on age is frequently

1 something that we request, require. And I think  
2 that would probably be appropriate in this  
3 situation. But I guess to address the long-term  
4 safety issues, and short-term, would be really  
5 monitoring potential endocrine abnormalities, which  
6 although certainly somewhat disabling are not an  
7 unusual complication in pediatric cancer therapy.  
8 We have experience dealing with that, and they can  
9 be dealt with I think very effectively.

10 I also think Dr. Fingert's suggestion about  
11 registry, based again on the experience that's been  
12 seen with immunomodulatory agents in non-malignant  
13 disease and particularly the potential risk for  
14 developing therapy-induced cancers, would certainly  
15 be something that we could work with sponsors to  
16 create in this setting since the mechanism of  
17 action is very novel, and short-term as well as  
18 long-term adverse events are a bit unknown. So  
19 doing registries is certainly something that could  
20 be part of a negotiated agreement with industry I  
21 think.

22 DR. FINGERT: If I could respond, Greg, I

1       wasn't really suggesting registries. And actually,  
2       I didn't really mean to talk about so much of a  
3       cancer concern. I just was trying to address or  
4       ask the Bristol-Myers group if they themselves,  
5       since they have experience with these other  
6       indications, think that knowing about the mechanism  
7       for these cancer treatments, if the experience with  
8       these other immunomodulators in young children can  
9       be informative and can be something that we can  
10      learn from in terms of monitoring in general. I  
11      didn't really mean that I have some concern about a  
12      rise in cancer risk in the people that get treated.

13             DR. SMITH: Greg, perhaps just to make an  
14      obvious point, these long-term effects and the risk  
15      benefit balance, early on, the children will be  
16      phase 1/phase 2 trials. Long-term survival  
17      likelihood will be very low, and so the issue is  
18      reduced. Two years from now or whenever, when we  
19      think about moving these potentially to the upfront  
20      setting, then we'll have to look very carefully at  
21      the pediatric experience, adult experience, and  
22      look at where risk and benefit appear to be matched

1       for some populations where a reasonable proportion  
2       of children would be expected to be long-term  
3       survivors.

4               UNIDENTIFIED MALE:   Malcolm, I would come  
5       back though. I think I raised the issue earlier  
6       about at least having a planning strategy for  
7       looking forward. We've stumbled in pediatric  
8       oncology a number of times because we have  
9       initiated something that's been a homerun, and we  
10      didn't anticipate the late effect. And we've had  
11      to go back and correct it.

12             This is really an opportunity in a very rare  
13      set of tumors to be able to do some thoughtful  
14      planning. Some of the adult data that were  
15      presented were showing up to two, three years of  
16      stable disease. Well, two to three years of stable  
17      disease in a 2-year old would provide some very  
18      important developmental data on "long-term"  
19      outcomes. If we don't have the sponsor think about  
20      that, we may come to a phase 3 where we wish we had  
21      that, and then we're losing more time.

22             DR. REAMAN:   Okay. We can move on to the

1 last question, considering the importance of  
2 evaluating the correlation of tumor cell PD-L1  
3 expression by specific pediatric cancers with  
4 activity. First, how important is that  
5 correlation? We may have the perfect storm here  
6 with one development plan that enriches and another  
7 that doesn't. And then, is there a potential that  
8 the combined use of multiple checkpoint inhibitors  
9 may prove useful in the setting where there's low  
10 PD-L1 expression by specific pediatric cancers? So  
11 sort of a two-part question.

12 DR. SMITH: The point was made that in fact  
13 there are some responders who are PD-L1 negative,  
14 at least that Bristol-Myers experienced, and there  
15 are various caveats of when was the sample  
16 collected, how representative is the sample, did it  
17 change from if it was a diagnosis sample to the  
18 time that a child is now being collected. So our  
19 more typical approach, or at least one approach is  
20 the approach of saying we start with all-comers,  
21 and then we learn from that about whether there  
22 is -- whether pediatrics, how much it resembles the



1 adult situation, how much we can rely on PD-L1  
2 expression to very heavily guide pediatric  
3 development.

4 That's not to say that it's a very  
5 attractive option to say PD-L1 expression will  
6 treat that and we're going to enrich. The question  
7 is how good is your marker for positive predictive  
8 value and negative predictive value, and do we  
9 really have enough evidence to do that right now  
10 for neuroblastoma or rhabdomyosarcoma.

11 DR. REAMAN: And we probably don't have the  
12 evidence obviously, but I think it's important that  
13 we do as much as we can to collect that evidence or  
14 to at least collect the data so that we can answer  
15 these questions.

16 UNIDENTIFIED FEMALE: So this question is  
17 for the sponsors or maybe one of the immunologists.  
18 Since we know PD-L1 is not static or likely not  
19 static, is there a way to induce it to improve the  
20 response?

21 DR. REAMAN: By using immunotherapy. I  
22 guess Dr. Sondel left. But we were talking

1 earlier, and that probably one way to actually  
2 induce PD-L1 is by using some other form of  
3 immunotherapy prior to the use of these agents. We  
4 don't know if it is sort of self-inducing in  
5 patients who have tumors for long periods of time,  
6 whether they're responding to any therapy or not  
7 responding to therapy. So I think there are  
8 multiple unknowns, many unknowns. Everything is  
9 unknown. But I think we have to seize every  
10 opportunity to ask these questions and to try and  
11 answer these questions.

12 I guess the one piece of information that we  
13 would be interested, do you see a problem with not  
14 enriching for PD-L1 expression or do you see a  
15 problem with enriching initial patient populations  
16 for PD-L1 expression? Or is there an opportunity  
17 to learn from these two different approaches as to  
18 whether or not there may be predictive information  
19 that we can glean from PD-L1?

20 Dr. Rubin, you can --

21 DR. RUBIN: I think that one thing I wanted  
22 to just comment on was I think crizotinib is a

1 great example of where I think the signal in lung  
2 cancer might have been missed if the 1B expansion  
3 cohort wasn't enrichment design. It really has to  
4 do with prevalence. So I hope we're in the space  
5 to be lucky enough where these drugs work broadly  
6 across pediatric malignancies, and we don't have to  
7 worry about it. But I think in the beginning, we  
8 might miss a very active drug if we're not looking  
9 potentially for PD-L1 expression.

10 DR. SMITH: And for the record, that was  
11 Dr. Rubin from Merck.

12 DR. CANETTA: Renzo Canetta, BMS, clinical.  
13 Of course, our philosophy is slightly different.  
14 We believe that asking prospectively the question  
15 for all-comers will provide a more complete answer.  
16 I personally believe that we don't have any issue  
17 with expecting more activity with a high PD-L1  
18 expression. The question is what about the others.  
19 And I believe that our proposal, that encompasses  
20 also the possibility to study the combination that  
21 may or may not necessarily be affected to the same  
22 extent by the PD-L1 expression, I think is an

1 appropriate way to ask the question. That's what we  
2 do as clinical investigators.

3 DR. SMITH: A point would be we've done  
4 trials where we start the initial phase 2 as the  
5 kind of open enrollment. And then if the marker  
6 negative population doesn't respond, we continue it  
7 with a marker positive population. I think I would  
8 be concerned if there wasn't some experience, plan,  
9 for a marker negative population. There is that  
10 plan, and so I think we'll get an answer.

11 DR. REAMAN: I guess we didn't touch on the  
12 issue of combined checkpoint inhibitor approaches  
13 in the setting of PD-L1 non-expression. Is that  
14 one rationale for using combination approaches, or  
15 is there a rationale for combining inhibitors if  
16 you don't see? From what I understand of the BMS  
17 data to date is that the responses to the combined  
18 approach were no different, based on PD-L1  
19 expression. I guess at least that sort of answers  
20 the question, but I think we would have to ask the  
21 same question in pediatric tumors going forward.

22 DR. SMITH: So the combination experience is

1       very positive and certain would warrant some  
2       pursuit in pediatrics. My immunotherapy colleagues  
3       at CTEP tell me about many other combinations that  
4       are in the pipeline. And so again, this will be  
5       the first of multiple combinations like this where  
6       we will try to follow as quickly as we can behind  
7       promising adult leads.

8               Dr. Fingert?

9               DR. FINGERT: Dr. Smith, I don't know if I  
10       heard you correctly, but I think you said that the  
11       combination data to date are very positive.

12              DR. SMITH: What I was referring to was the  
13       melanoma data for the ipilimumab and nivolumab  
14       combination that I would characterize as positive,  
15       yes.

16              DR. FINGERT: Okay. Well, I would just  
17       remind the panel that I think I would prefer to  
18       think of it as interesting or maybe even on the  
19       road to promising. But I do have concerns about  
20       making too much of a commitment to combinations,  
21       either with another immunotherapy or with  
22       chemotherapy in the frontline. Nancy Goodman

1       raised this question earlier; are we really  
2       doing -- should we go faster into some combination  
3       program of chemotherapy.

4               I raise the example of the TLR9, which was  
5       studied in lung cancer. And Dr. Canetta brought up  
6       the very preliminary results that had been out  
7       about their phase 2 experience, their going to  
8       phase 3. But we have to remember, the phase 2  
9       experience with TLR9 was also very positive. They  
10      had improvement in PFS, improvement in OS, and it  
11      was published and presented and advocated, and  
12      everything. Then when the phase 3 happened, the  
13      opposite. The phase 3 results did show worse  
14      outcome by combining the immunotherapy with the  
15      chemotherapy.

16             So I just want to say I still have some  
17      cautions about overcommitting towards combinations  
18      unless we have more late, full data on what all  
19      these outcomes are going to show us.

20             DR. REAMAN: And I'm not sure that there's  
21      any commitment to combinations. We've skipped a  
22      step here. First we have to find that there's

1 activity. And if there's activity, that the  
2 risk/benefit would warrant continued evaluation.

3 So I think any discussion of combination,  
4 whether it's combination immunomodulating agents or  
5 combination of one of these immunomodulating agents  
6 with chemotherapy, are all very theoretical and  
7 hypothetical. And if we should be so lucky and  
8 fortunate that we get to the point of talking about  
9 combinations, I think it will only happen when we  
10 have a better understanding of what these drugs do  
11 as single agents from the standpoint of efficacy  
12 and safety.

13 So we're not committing to anything. And  
14 even though we may commit even in a written request  
15 for combination studies as part of a long-term  
16 development plan, we evaluate the results of these  
17 studies in a real-time fashion. And if those plans  
18 have to change, they can be amended. And  
19 obviously, if we seek too much toxicity or we see  
20 no efficacy, then they would be appropriate reasons  
21 for amending studies and amending written requests.

22 UNIDENTIFIED FEMALE: Just a final word as a

1 mother whose child did die of medulloblastoma, and  
2 I considered many phase 1 trials. You know, all  
3 these kids are going to die. And from the parents'  
4 perspective, what we lose if we go very, very  
5 slowly is all the kids who are dying while we're  
6 doing monotherapies.

7 We do have some evidence, which is that in  
8 melanoma for adults, for example, combinations are  
9 exciting. It's messier science. But on the other  
10 hand, we may give a whole cohort of kids a little  
11 bit more life. And to me, that's something that  
12 should be taken into consideration when we think  
13 about when to start combination therapies.

14 DR. SMITH: Dr. Yao?

15 DR. YAO: So my intent today was to help  
16 answer or clarify any questions the committee had,  
17 but I do actually have a very -- it struck me as  
18 I've been listening to the conversation -- a  
19 question that I'd like to ask the committee that's  
20 sort of related to this. And it seems like there's  
21 a little bit of tension between old paradigm and  
22 maybe new paradigm, the radiation, chemo, or



1        cytotoxic surgery sort of paradigm, and now  
2        immunomodulation.

3                In the old paradigm, it seems reasonable  
4        that you would want to study whoever you could get  
5        because the mechanism would likely potentially be  
6        effective. As we're moving into this sort of brave  
7        new world of immunomodulation and markers that we  
8        see in patients or don't see, it seems that there's  
9        a little bit more tension created between who might  
10       actually benefit and who might not. And that  
11       creates this change in paradigm between a phase 1  
12       traditional and a phase 2 is what I'm hearing of  
13       the committee.

14               So I would really like to have the committee  
15       maybe discuss a little bit, if there's time, what  
16       makes sense in terms of immunomodulation, or what  
17       are the differences here that we as regulators and  
18       drug developers and investigators and patients have  
19       to keep in mind as we're moving into this type of  
20       new therapy.

21               UNIDENTIFIED FEMALE: At least one of the  
22       issues I have with all of this is that we don't

1 know what harm we can potentially cause with these  
2 agents. As with any investigational agent,  
3 anything can happen at any time, is just what we  
4 usually tell the families. But we work under the  
5 premise of first do no harm. And so this big  
6 unknown of maybe not benefitting anybody by  
7 creating undue harm is a little bit scary and gives  
8 us pause.

9 DR. REAMAN: I would just like to suggest  
10 that I'm not sure that we're moving from one  
11 paradigm to a new paradigm because I think the new  
12 paradigm has yet to really be defined. We're  
13 moving from a situation that was a little bit more  
14 comfortable because we knew mechanisms of action  
15 were very basic and crossed many tumor types. But  
16 we really haven't defined the new paradigm and the  
17 new process.

18 So I think some of the tension that you hear  
19 is related to do you enrich so that you can provide  
20 the greatest benefit for the population of patients  
21 for whom you think you have the ability to predict  
22 they will benefit? But we also know from

1       experience that some of these new agents are also  
2       active in the population of patients that are  
3       negative for that predictive biomarker.

4               So we don't really know how to do this yet I  
5       think is some of the tension that you're picking  
6       up. But I think in this situation -- and I think  
7       anything that we do has to really address that very  
8       issue. We need to prospectively evaluate  
9       biomarkers, and we have to retrospectively evaluate  
10      biomarkers for their predictive ability. And I  
11      think we'll have the opportunity to do both there.

12             Does that sort of answer your question?

13             DR. YAO: Yes and no. I mean, again, what I  
14      think would be interesting to hear the committee  
15      discuss is, again, not with all these unknowns, is  
16      it reasonable to approach the development of these  
17      products as you would a traditional agent, or would  
18      there be other information you'd want, for example,  
19      before you would absolutely go into a phase 2  
20      population or a phase 1? Is there preclinical  
21      information that you would like to have? Those  
22      kinds of things. Would these specific

1 classes -- knowing that, again, the mechanism is  
2 potentially more -- or potentially limits the  
3 population that would be most likely to benefit.

4 UNIDENTIFIED MALE: I'm not sure what  
5 preclinical information would help here.

6 Immunotherapy preclinical studies for anti-cancer  
7 activity are quite challenging. They can be done,  
8 but they're challenging. And exactly how they map  
9 to the clinical setting is not sure. And I'm not  
10 sure what additional preclinical evidence we would  
11 want to see right now before we go to phase 1/phase  
12 2. Again, a phase 1/phase 2 population, these are  
13 children who the likelihood for long-term survival  
14 is low.

15 The one paradigm that I think is somewhat  
16 new here and changing is there's really a push to  
17 try to get answers quickly. And rather than the  
18 phase 1, and we wait for the phase 1, and then we  
19 do the phase 2, and it takes time to get the  
20 phase 2 open -- but if we really want to get an  
21 answer with phase 1/phase 2 expansion within the  
22 context of the same trial -- and I think you saw

1 here with the Bristol-Myers' presentation, even the  
2 addition of a combination as well.

3 So I think the paradigm models what's  
4 happening in adult drug development is to move as  
5 quickly as you can to get the answers in terms of  
6 anti-cancer activity for specific populations. So  
7 that's a bit of the paradigm I see here. We did it  
8 with crizotinib. Again, and the answer wasn't  
9 known -- and I think to Dr. Fingert's point, the  
10 answer wasn't known about crizotinib so clearly.  
11 But we were pushing to get the phase 1 study with a  
12 phase 2 expansion cohort done. And I think here is  
13 the same idea. This looks promising based on adult  
14 data, and we, phase 1 with a phase 2 expansion,  
15 quickly get an answer, and then be prepared to move  
16 to the next agent or to build upon this, depending  
17 on the results.

18 UNIDENTIFIED MALE: Malcolm, I think the  
19 other piece of this is that this is not acute  
20 lymphoblastic leukemia with 1800 children, 1800 to  
21 2000 diagnosed a year. And so the time pressure  
22 really comes in to how long does it take to do a

1 trial in a sequential fashion. And in order to do  
2 that, our typical standard might take us 10 to 15  
3 years to get to a point, and that really adds a  
4 little bit to that tension I think that is here  
5 because there's a limited number of patients to be  
6 able to work with, and their disease is really bad.

7 DR. SMITH: Do you need any additional  
8 feedback from the committee on these topics?

9 DR. REAMAN: I don't think so. Thank you.  
10 I think you've done a great job of providing  
11 feedback, unless there's some additional  
12 information.

13 I would like to thank you and the members of  
14 the panel for the comments and the insight. And I  
15 would especially like to thank both Merck and  
16 Bristol-Myers Squibb for their excellent  
17 presentations and for the discussion. As I  
18 mentioned starting out, I think this was a somewhat  
19 precedent setting, having two sponsors present and  
20 discuss different compounds, both of which are  
21 intriguing, exciting. And there is enthusiasm at  
22 least on the part of the agency to see these

1 developed effectively and efficiently and to do so  
2 with the knowledge that this has to be a global  
3 development program.

4 So working with investigators and with our  
5 regulator colleagues in other countries, we look  
6 forward to some follow-through on what we've heard  
7 today. And I think the information and the  
8 feedback that we've received will be helpful in  
9 developing our written requests. And again,  
10 working with the pediatric committee and exchanging  
11 concerns, ideas, will be helpful to us, hopefully  
12 to you, and most importantly, helpful to patients  
13 going forward. So thank you all.

14 **Adjournment**

15 DR. SMITH: Okay. Very good. We will now  
16 break for lunch. We will reconvene at 12:45 as  
17 planned, so a shortened lunch break, but we'll try  
18 to get started right at 12:45. Please take any  
19 personal belongings you may want with you at this  
20 time. And committee members to remember that there  
21 should be no discussion of the meeting during lunch  
22 amongst yourselves, with the press, or any members

1 of the audience. Thank you.

2 (Whereupon, the subcommittee's morning  
3 session was adjourned.)

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